

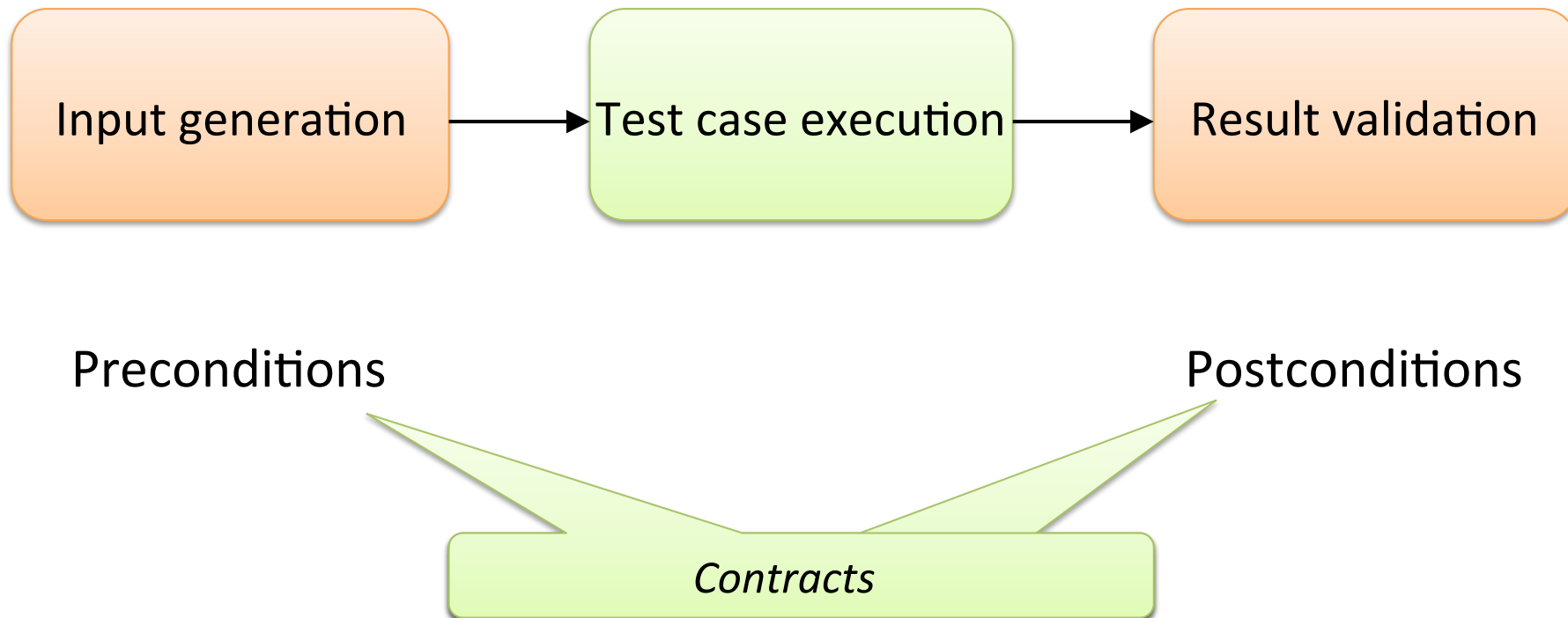
# AutoTest: Contract-based testing

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# Automated unit testing



# Design by Contract



```
put (v: G; i: INTEGER_32)  
    -- From DS_ARRAYED_LIST  
    -- Add `v' at `i'-th position.
```

**require**

```
extendible: extendible (1)  
valid_index: 1 <= i and i <= (count + 1)
```

Input filter

-- Implementation

**ensure**

```
one_more: count = old count + 1  
inserted: item (i) = v
```

Oracle

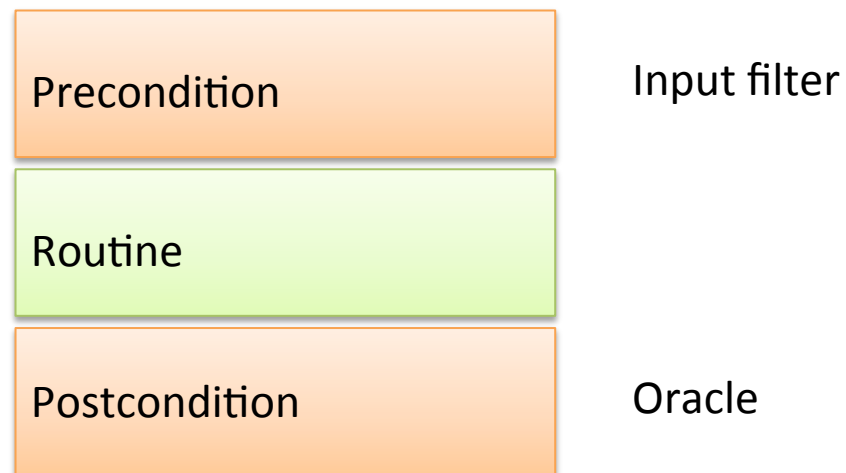


# Contract-based random testing

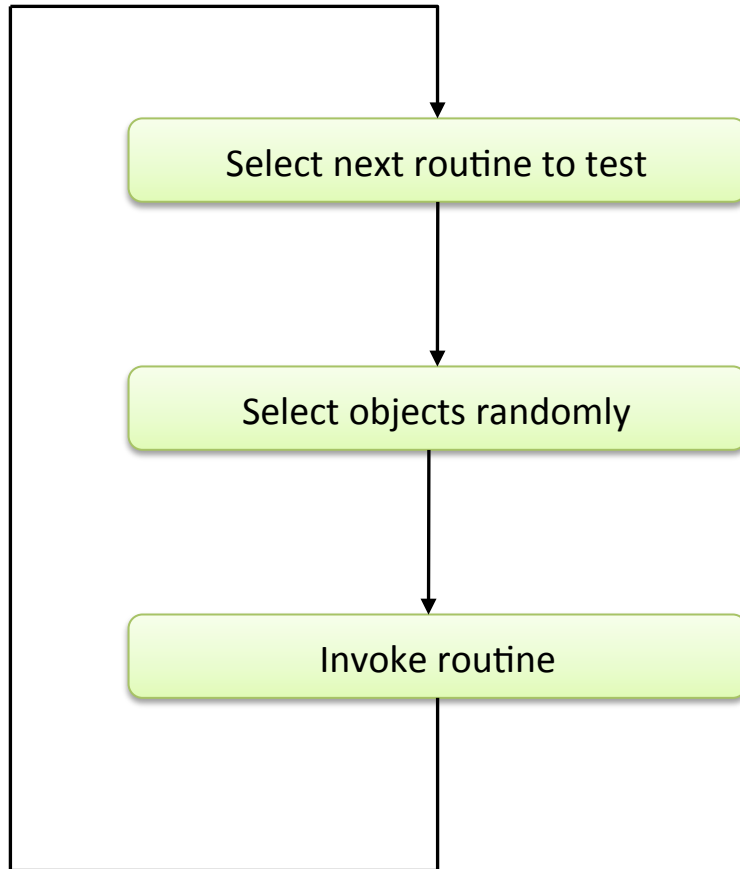
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Random input generation:

- Primitive values: random selection
- Objects: constructor calls + other (state-changing) methods



# Random testing strategy



```
create {LINKED_LIST[INTEGER]} v1.make
```

```
v2 := 1
```

```
v1.extend(v2)
```

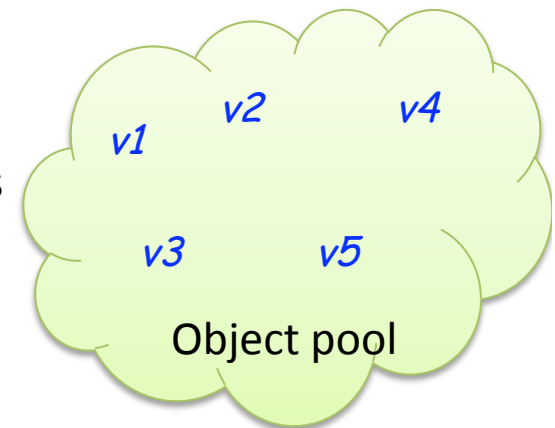
```
v3 := 125
```

```
v1.wipe_out
```

```
v4 := v1.has(v3)
```

```
v5 := v1.count
```

Sample test cases





## Test outcome for the feature under test

- Execution ends normally: a passing test case
- Execution fails with precondition violation: an invalid test case
- Execution fails with postcondition violation or any failure inside feature body: a detected fault

# Effectiveness of contract-based random testing

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Intuition:

random testing is a poor strategy.

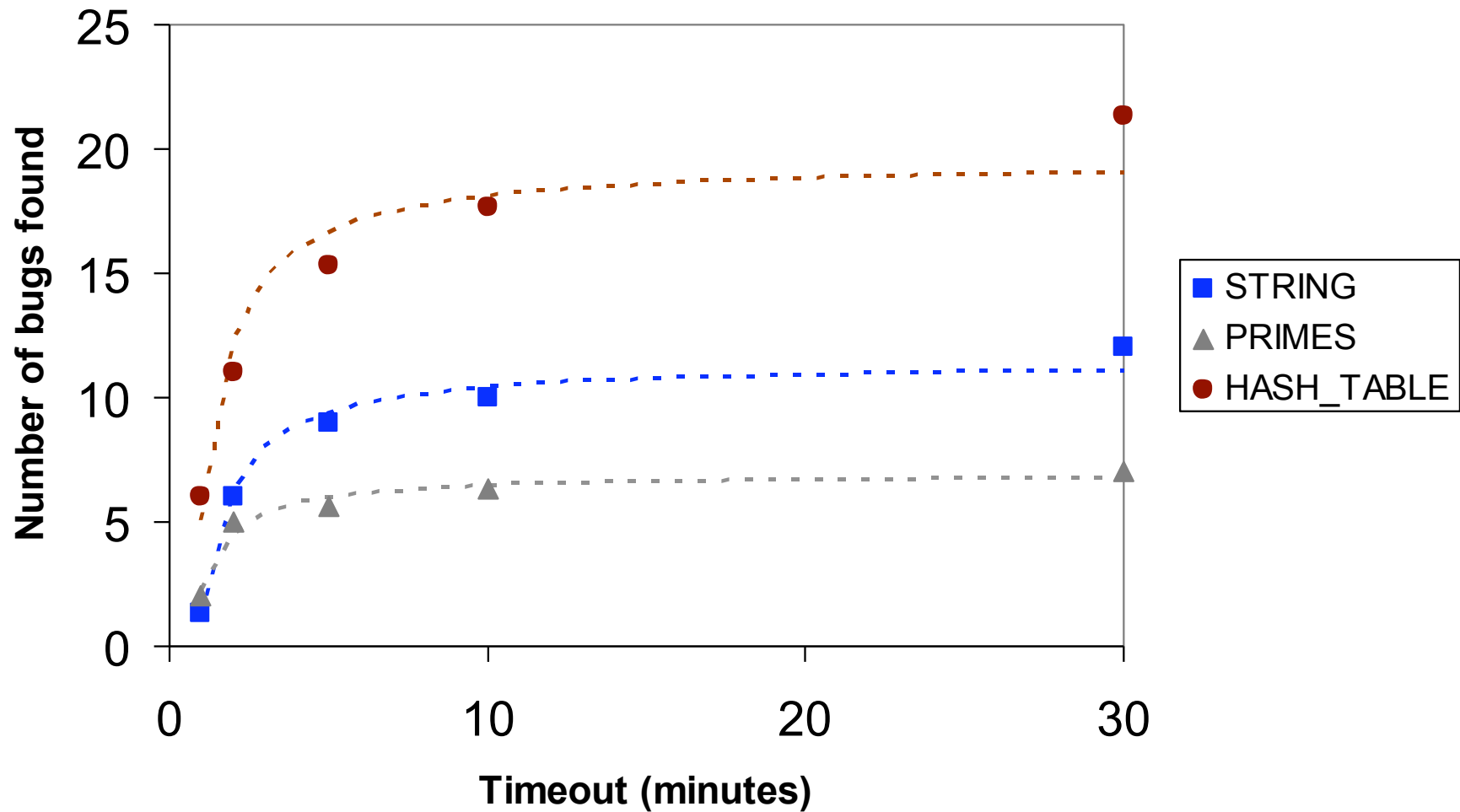
Experimental results:

- Random testing is **effective**
- Best: **random<sup>+</sup> testing** (random + limit values)
- Relative number of found faults: predictable
- Actual found faults: unpredictable

# Number of faults found in time

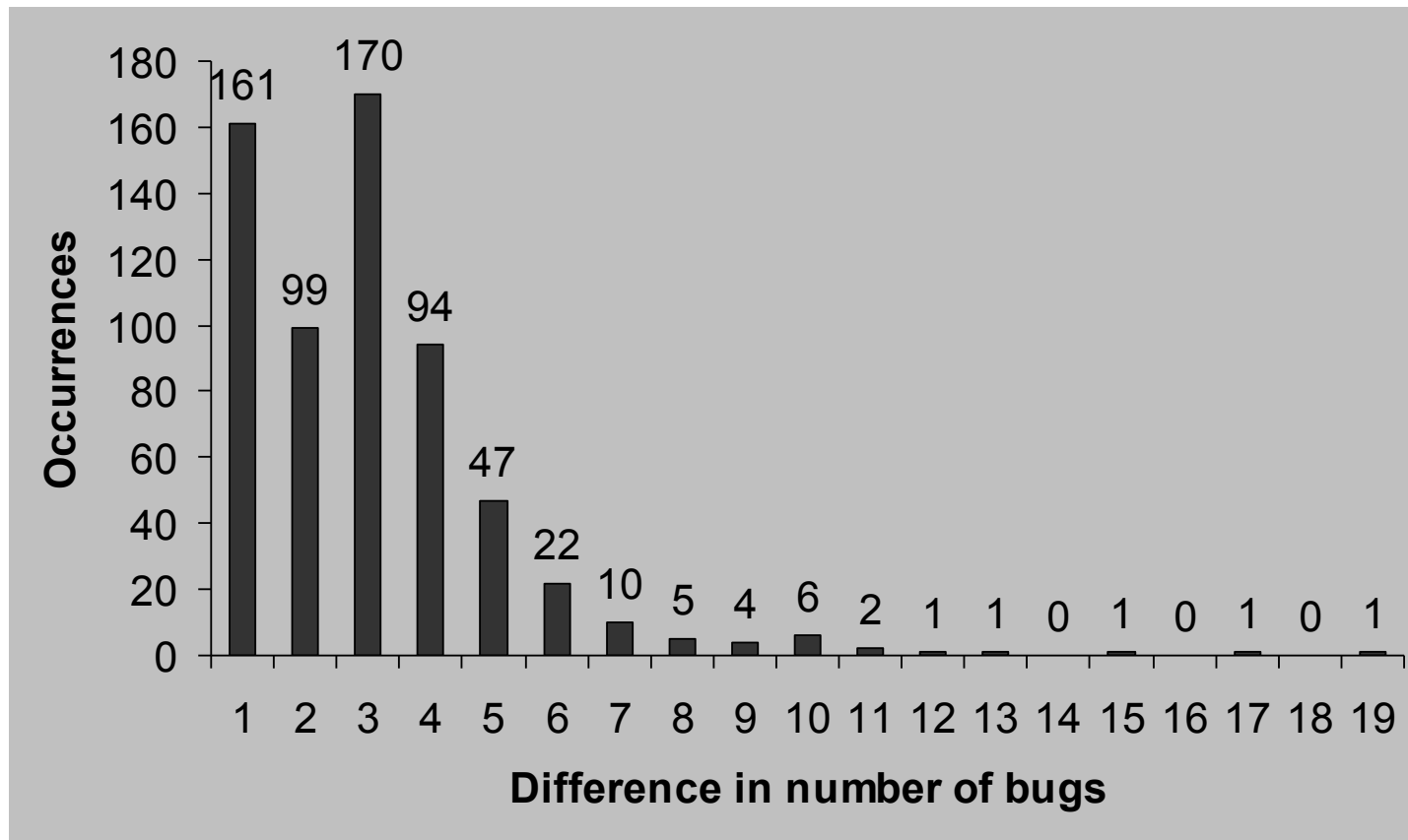


Inversely proportional to elapsed time:  $f(t) = \frac{a}{t} + b$





# Number of faults found in classes





## Problems with random testing

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- Some features are not tested because their precondition are not satisfied:
- Randomly generated test data is not diversified enough.

## The issue of generating precondition-satisfying tests



A random based testing tool implemented in the original scheme (or-strategy) has difficulty in generating valid test cases for precondition-equipped routines:

- Some routines are left untested.
- The testing tool may keep generating invalid test cases, instead of performing effective testing.

## What kinds of preconditions are difficult to satisfy?



```
remove_right_cursor (a_cursor: DS_ARRAYED_LIST_CURSOR )
```

```
-- Remove item to right of `a_cursor' position.
```

```
-- Move any cursors at this position forth.
```

```
require
```

```
not_empty: not is_empty
```

```
cursor_not_void: a_cursor /= Void
```

```
valid_cursor: valid_cursor (a_cursor)
```

```
not_after: not a_cursor.after
```

```
not_last: not a_cursor.is_last
```

At the beginning of the 50<sup>th</sup> minute, there are 356 list objects and 192 cursor objects, but only 5 out of 68,352 list-cursor combinations satisfied the precondition, the probability of a correct selection is 0.007% .

# What kinds of preconditions are difficult to satisfy?



```
prune (n: INTEGER_32; i: INTEGER_32 )  
    -- Remove `n` items at and after `i`-th position.  
require  
    valid_index:  $1 \leq i$  and  $i \leq \text{count}$   
    valid_n:  $0 \leq n$  and  $n \leq (\text{count} - i + 1)$   
ensure  
    new_count:  $\text{count} = \text{old\_count} - n$ 
```

This occurs often in preconditions



## Guided object selection – the *ps-strategy*

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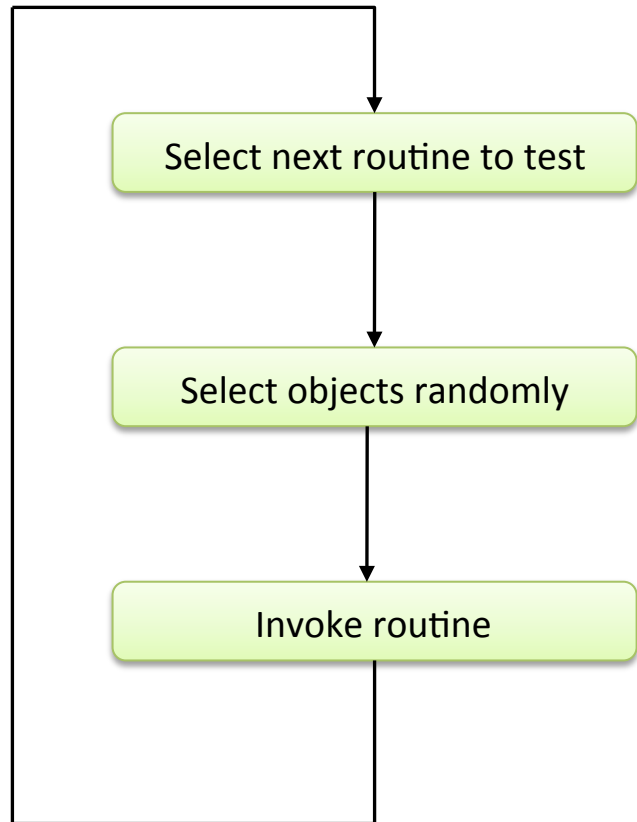
### Observation

- The or-strategy can create objects satisfying many preconditions
- Needs to select those objects more effectively

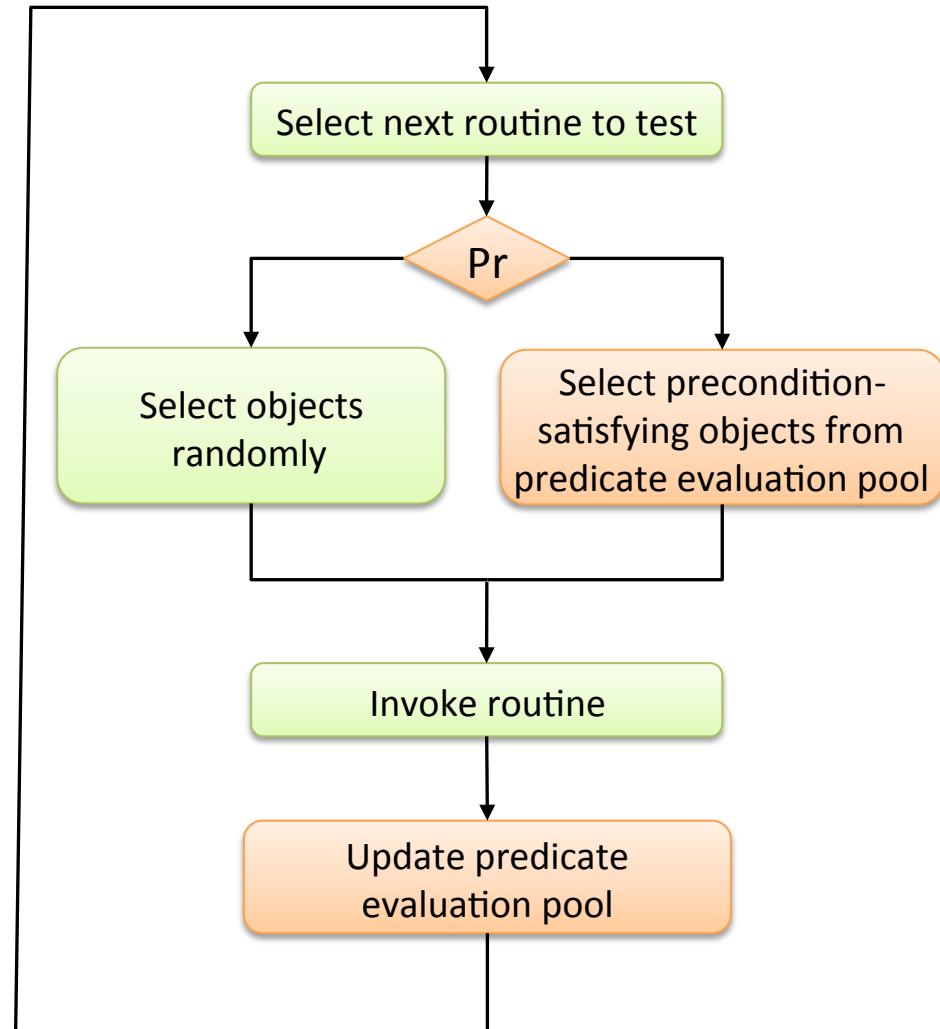
### Solution: the precondition satisfaction strategy (*ps-strategy*)

- Keep track of which objects satisfy certain precondition predicates
- To test a routine, select precondition-satisfying objects with a higher probability
- Use linear constraint solver

# Comparison between the or-strategy and the ps-strategy



The or-strategy

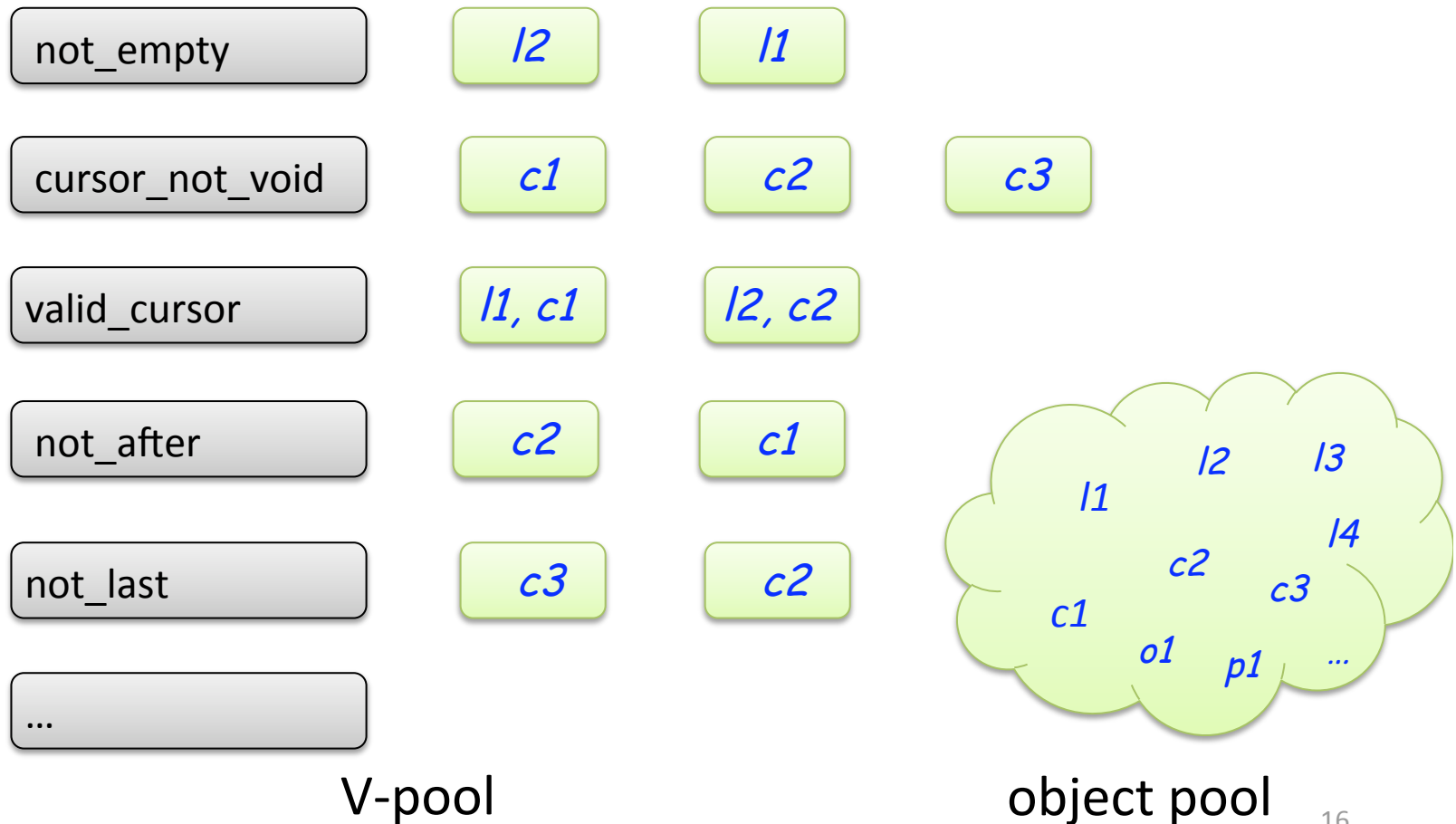


The ps-strategy

# Object selection guided by predicate evaluation pool (V-pool)



The V-pool keeps track of objects satisfying certain precondition predicates; those objects can be used to generate valid test cases.







## Updating the predicate evaluation pool

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After every *passing* test case

evaluate relevant predicates on ***last used objects***, and add precondition-satisfying object combinations to the V-pool.

Grow the V-pool as much as possible

After every *invalid* test case:

remove the object combination causing the precondition violation at the specific predicate from the V-pool.

Correct inconsistency lazily



# After every passing test case...

*replace\_at\_cursor (v: G; a\_cursor: CURSOR)*

-- Replace item at `a\_cursor` position by `v`.

**require**

*cursor\_not\_void: a\_cursor /= Void*

*valid\_cursor: valid\_cursor (a\_cursor)*

The V-pool contains *snapshots* of the relations among objects, this information may become inconsistent as testing proceeds.

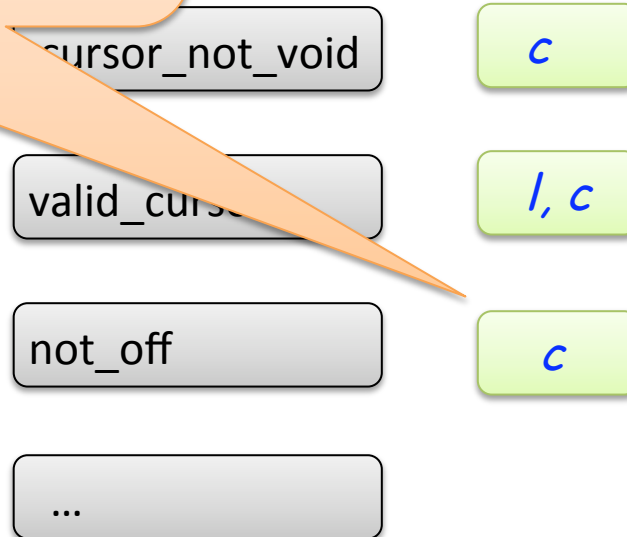
*./replace\_at(v)*

*c := l.new\_cursor*

*c.go\_i\_th (1)*

*l.wipe\_out*

*l.replace\_at\_cursor (v3, c)* ⚡





# After every invalid test case...

*replace\_at\_cursor* (*v*: *G*; *a\_cursor*: *CURSOR*)

-- Replace item at `a\_cursor` position by `v`.

**require**

*cursor\_not\_void*: *a\_cursor* /= *Void*

*valid\_cursor*: *valid\_cursor* (*a\_cursor*)

*not\_off*: *not a\_cursor.off*

*l.force\_last* (*v1*)

What is the success rate of test cases generated by the ps-strategy?

*l.wipe\_out*

*l.replace\_at\_cursor* (*v3*, *c*)

cursor\_not\_void

*c*

valid\_cursor

*l, c*

not\_off

*c*

...

> 60% (cf. or-strategy: < 10%)



## For linear constraints

```
prune (n: INTEGER_32; i: INTEGER_32 )  
    -- Remove `n` items at and after `i`-th position.  
require  
    valid_index: 1 <= i and i <= count  
    valid_n: 0 <= n and n <= (count - i + 1)  
ensure  
    new_count: count = old count - n
```

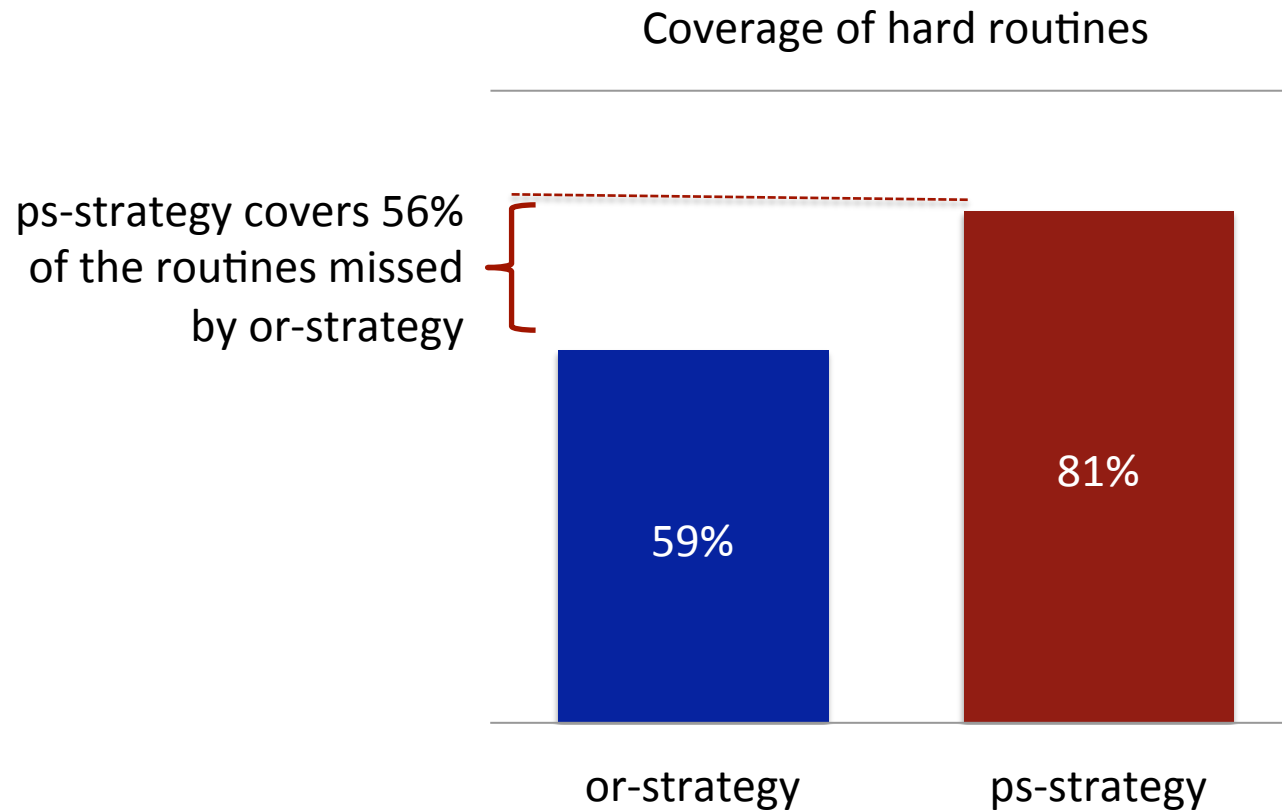
*lpsolve* is used to generate a minimal and a maximal solution

- Randomly select one value from the range
- Slightly biased on border values and potentially interesting values
- Solutions are cached

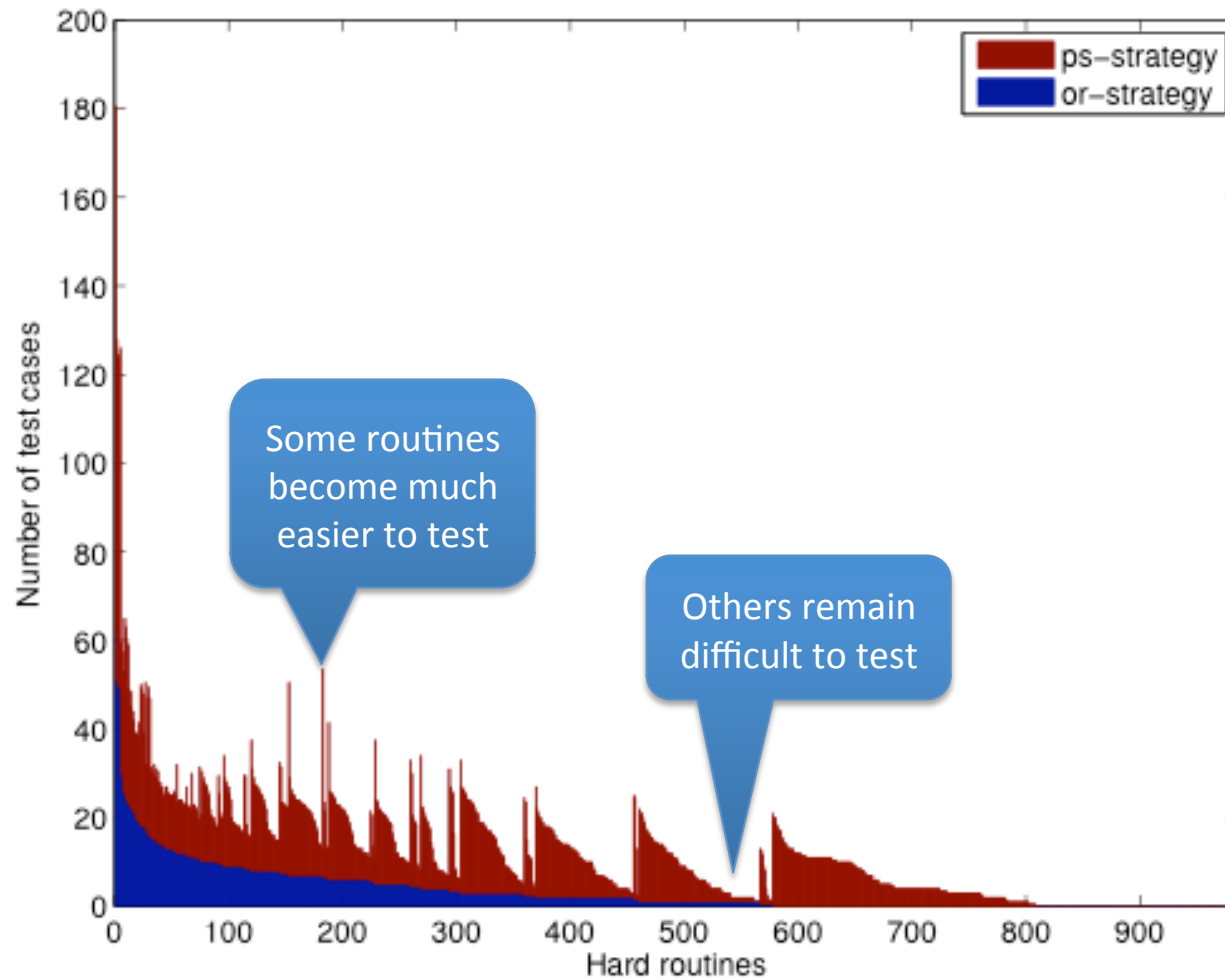
# How many more routines are tested by the ps-strategy?



- A hard routine is one for which or-strategy failed to generate a valid test case for at least 90% of the time.



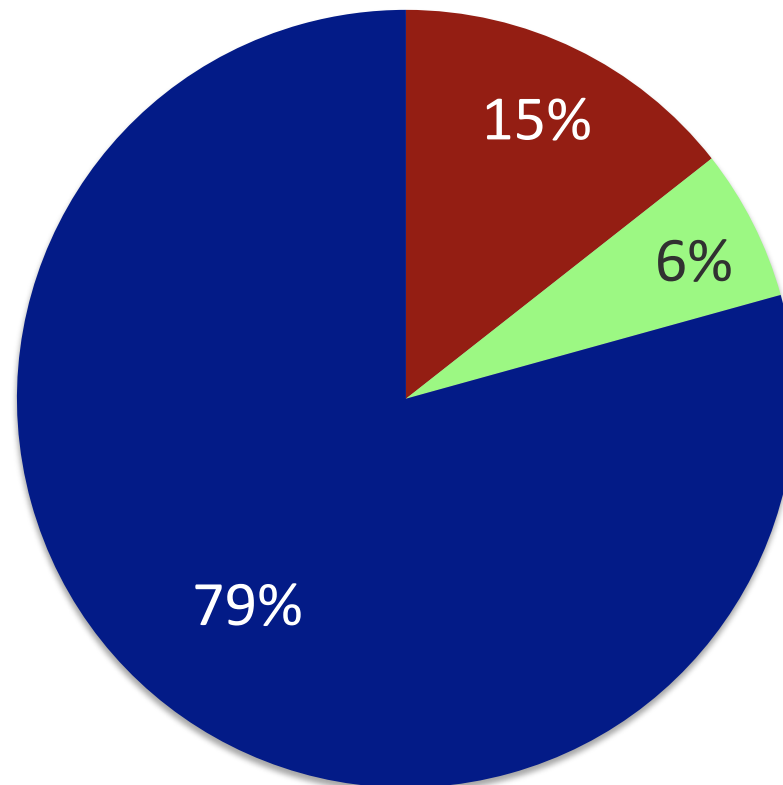
# How often are routines tested by the ps-strategy?



- Over 3.5 times as many valid test cases overall

# Fault coverage by each strategy

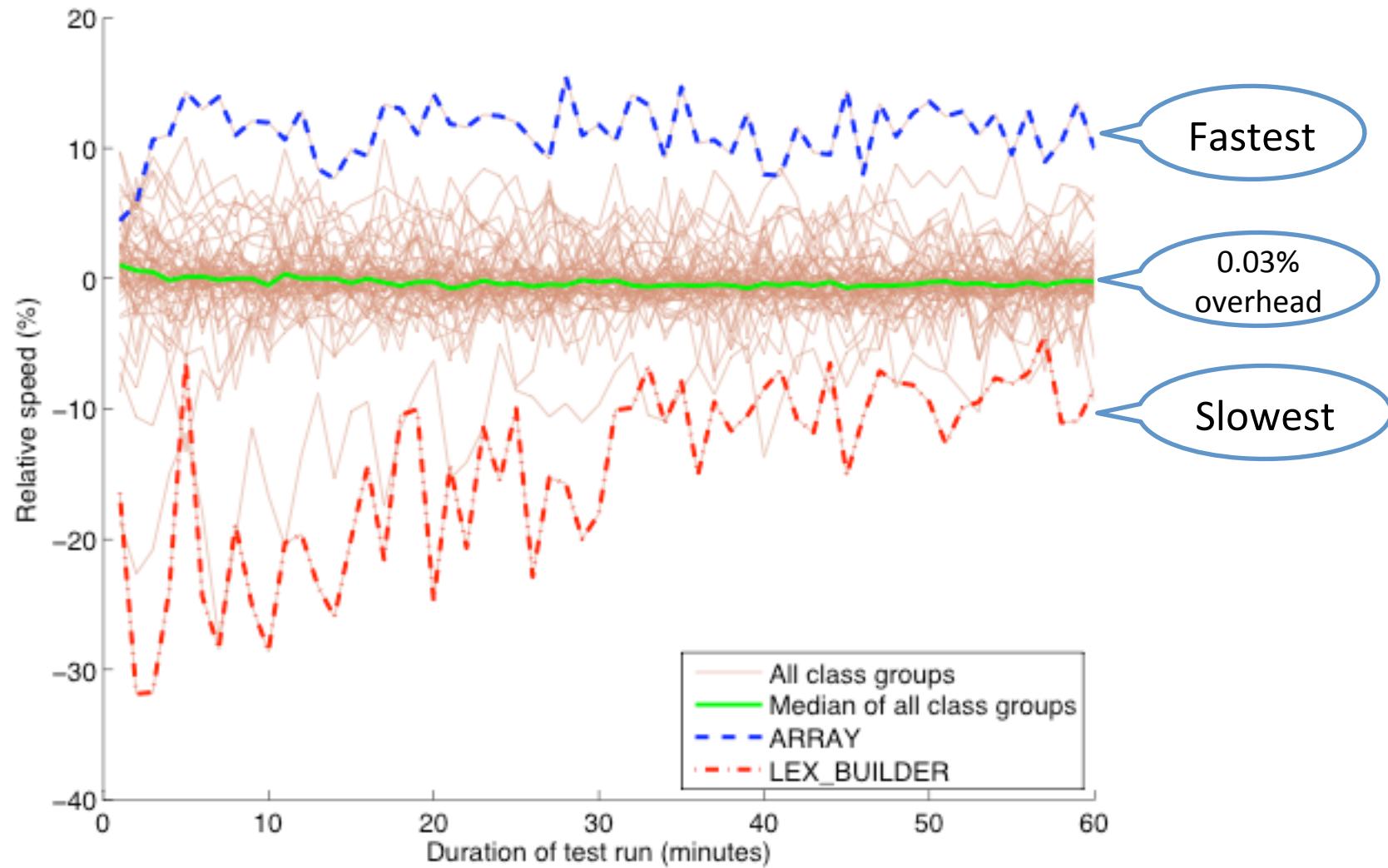
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■ ps-strategy   ■ or-strategy   ■ both strategies

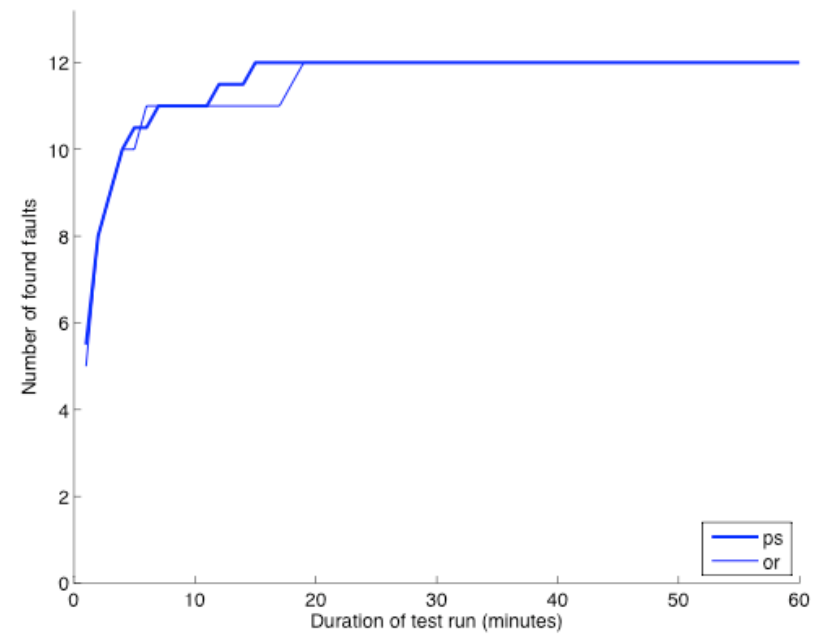
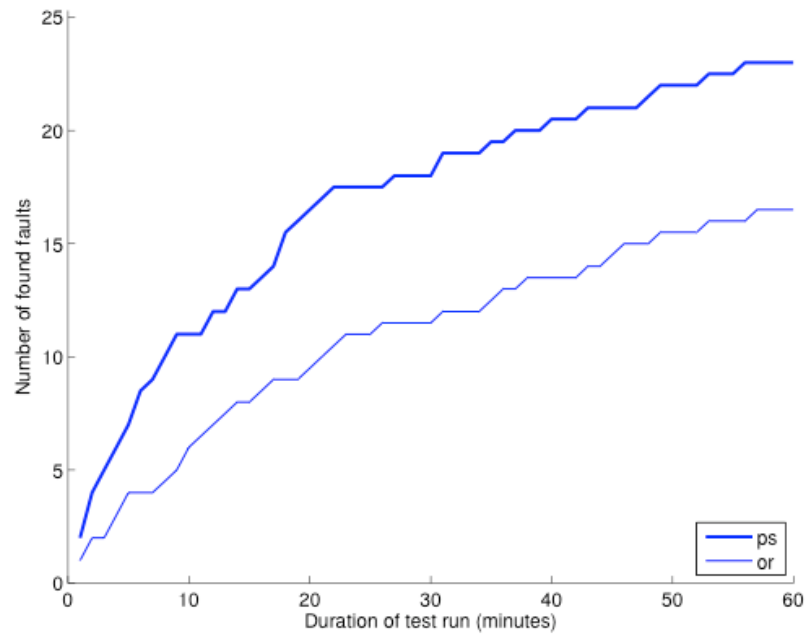
Almost 10% increase in the number of detected faults overall.

# Test case generation speed





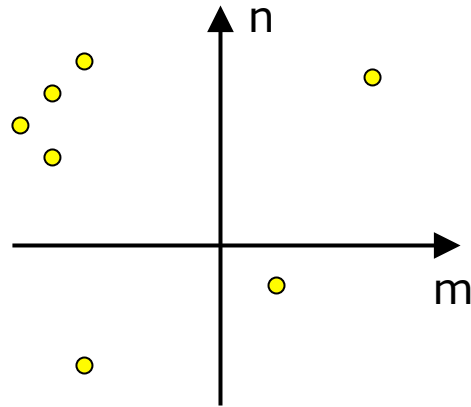
# Number of faults detected in time



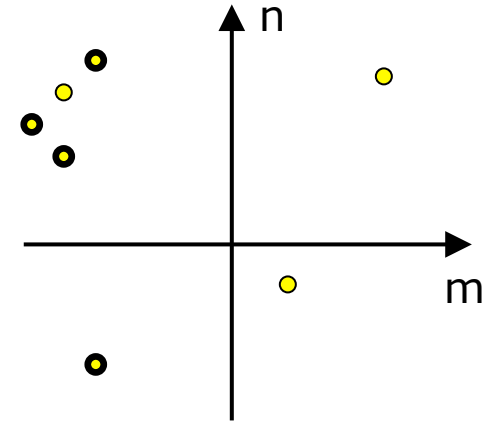
# Randomly generated inputs are not diversified



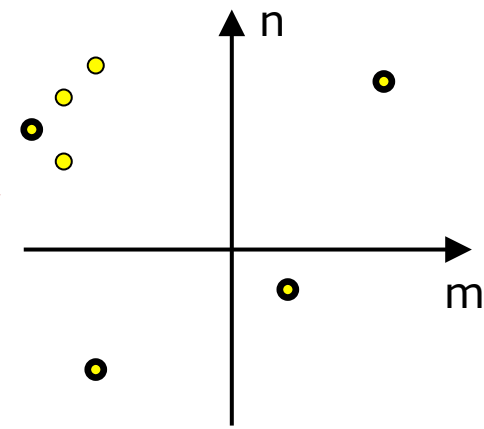
```
r (m, n: int)
```



Random input selection



A more diversified solution



```
PERSON
name: STRING
age: INTEGER
spouse: PERSON
children: ARRAY [PERSON]
bank_account: BANK_ACCOUNT ...
```

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# Object distance

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## Objects characterized by:

- their values
- their dynamic types
- recursively the basic values of the attributes or the objects referred by the attributes



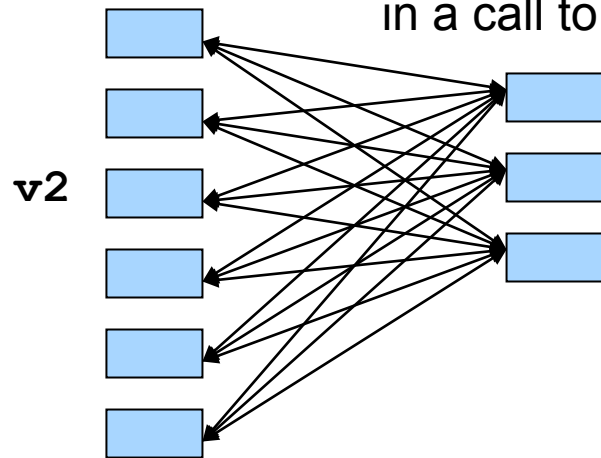
- Adaptive **R**andom **T**esting for **O**bject-**O**riented Software
  - Test inputs (objects) generated **randomly**: candidate set
  - At every step, the element from the candidate set is chosen which has the **maximum average distance** to the already used ones

# ARTOO algorithm



**r (arg1: A; arg2: B) in class C**

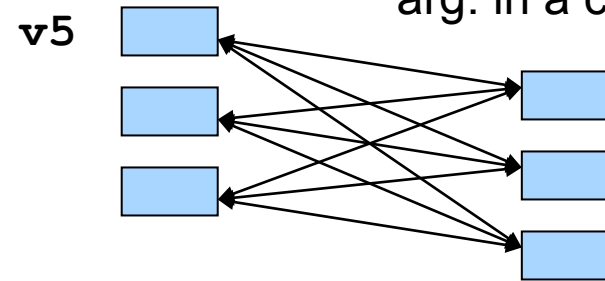
Available instances of **A**      Instances of **A** used as first arg. in a call to **r**



`v16.r(v2, v5)`

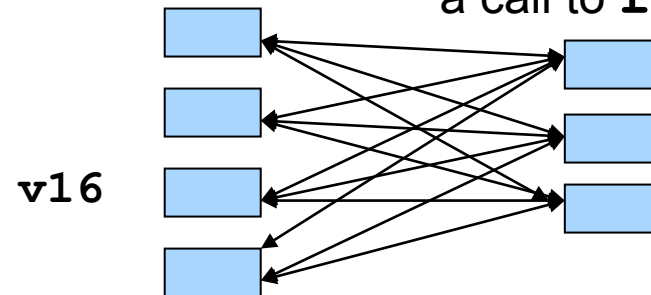
Available instances of **B**

Instances of **B** used as second arg. in a call to **r**

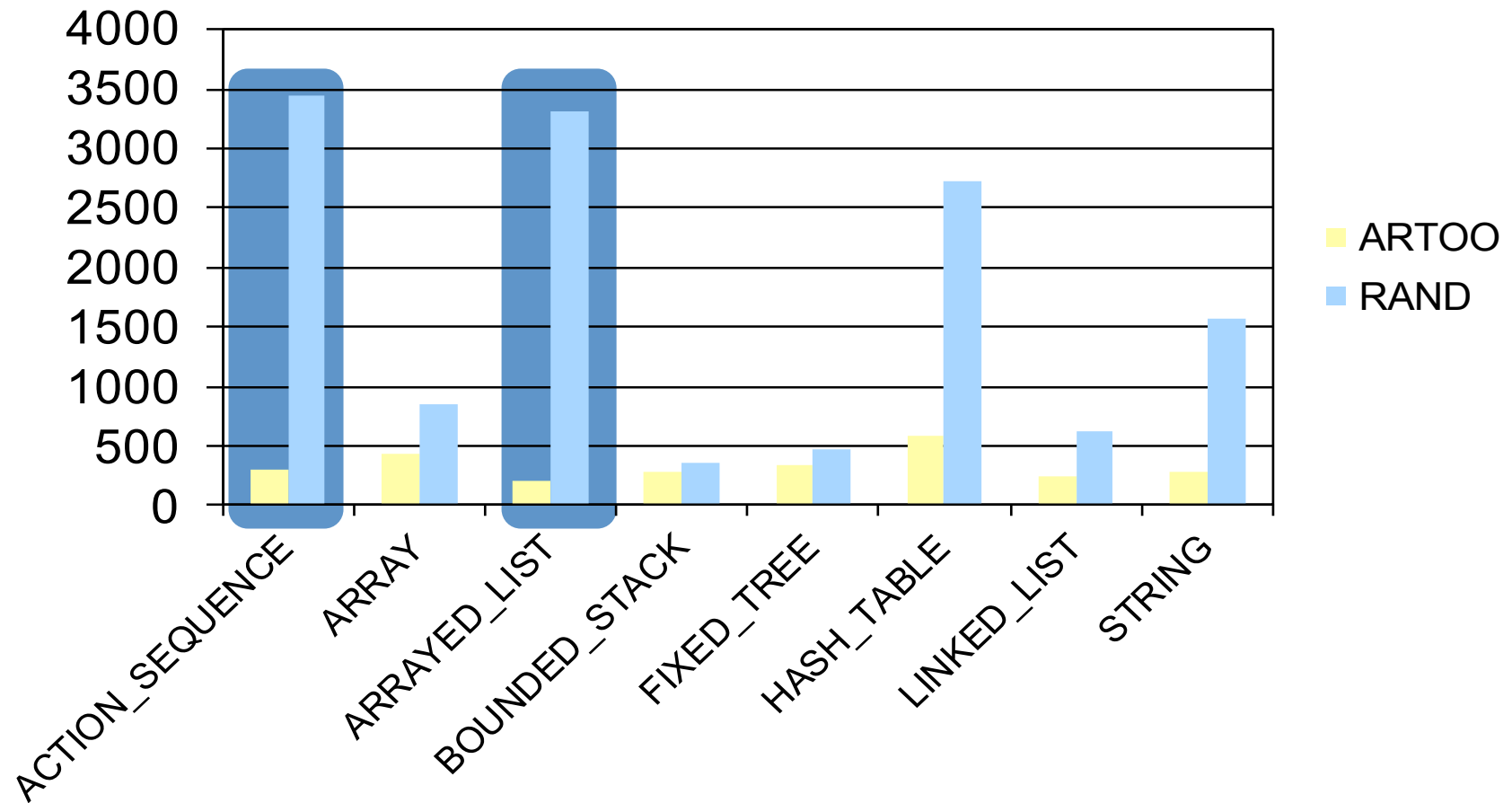


Available instances of **C**

Instances of **C** used as target of a call to **r**

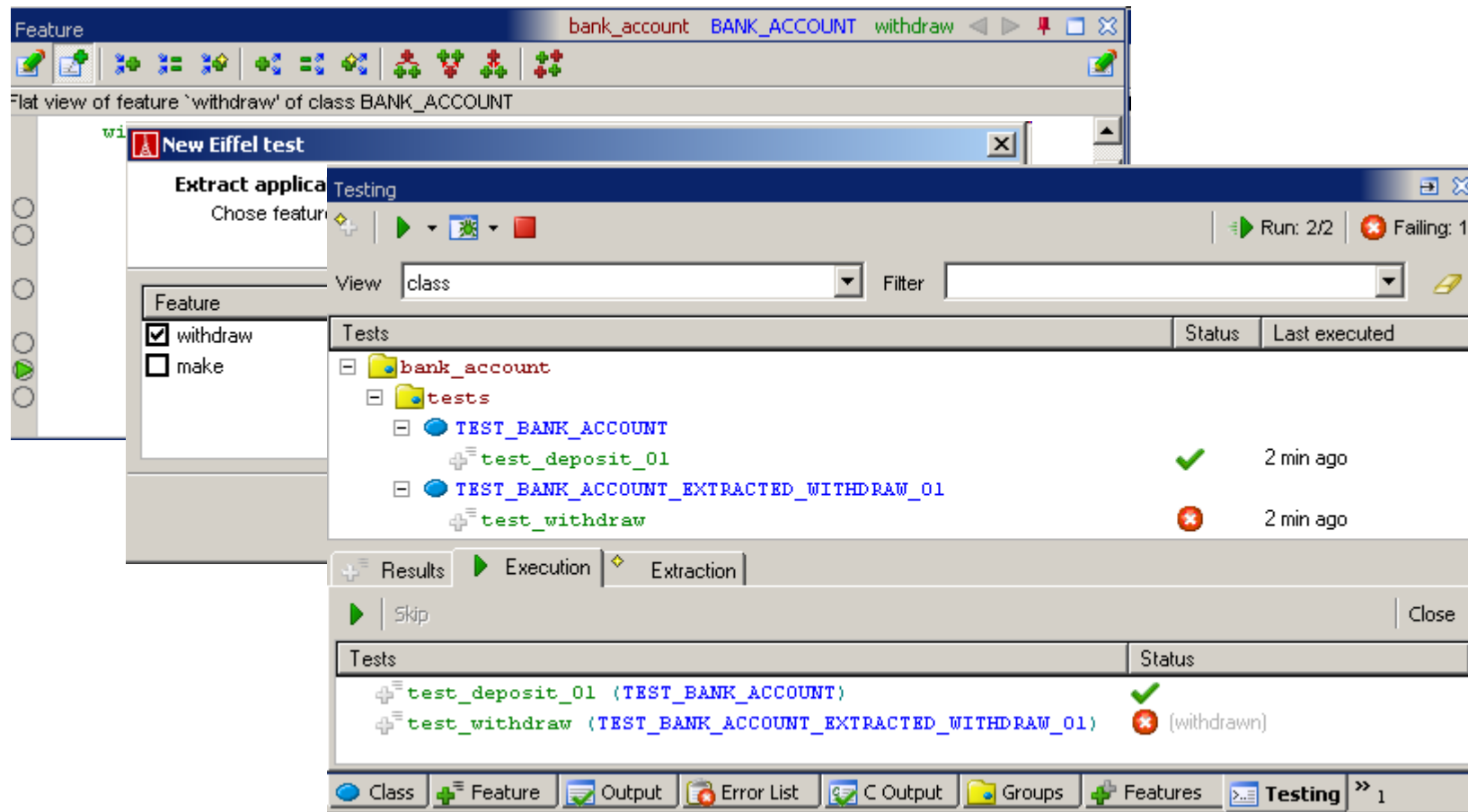


# Tests to First Fault



# Extracted tests when debugging

Automatically turn failed executions into tests.



Test Generation + Test Extraction = **AutoTest**





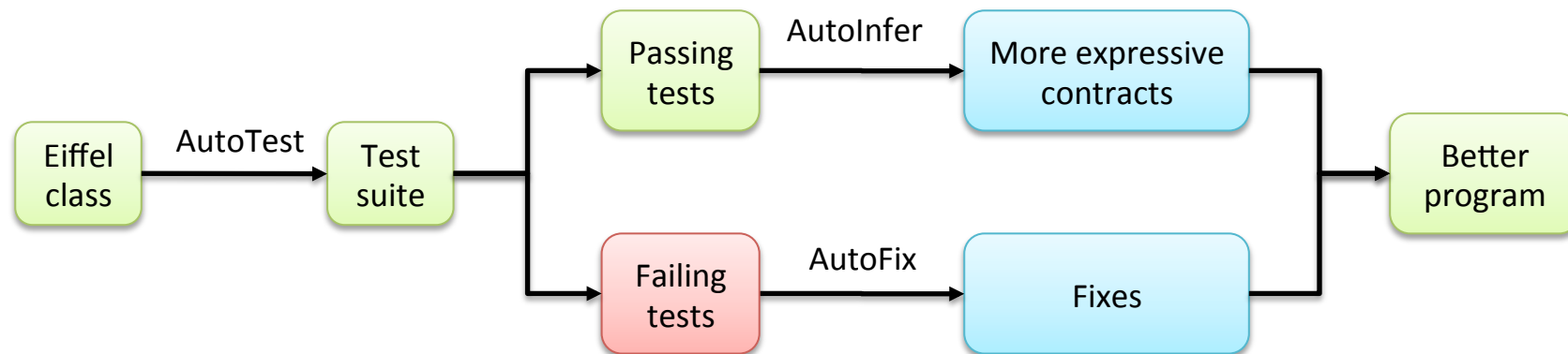
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# AutoTest Demo



# Research opportunities

Contract-based testing is an active research area, with many applications.



Master thesis, semester thesis projects are open.  
Check <http://se.inf.ethz.ch/projects>

# Inferring contracts from passing tests



*LINKED\_LIST.extend (v: ANY)*

-- Add `v` to end. Do not move cursor.

**ensure**

## Programmer written

post1: *occurrences (v) = old (occurrences (v)) + 1*

## Automatically inferred

post2: *forall o . o /= v implies occurrences (o) = old occurrences (o)*

post3: *forall o . o /= v implies has (o) = old has (o)*

post4: *forall i . i >= 1 and i <= old count implies i\_th (i) = old i\_th (i)*

post5: *i\_th (old count + 1) = v*

post6: *old after implies index = old index + 1*

post7: *not old after implies index = old index*

post8: *count = old count + 1*

post9: *last = v*

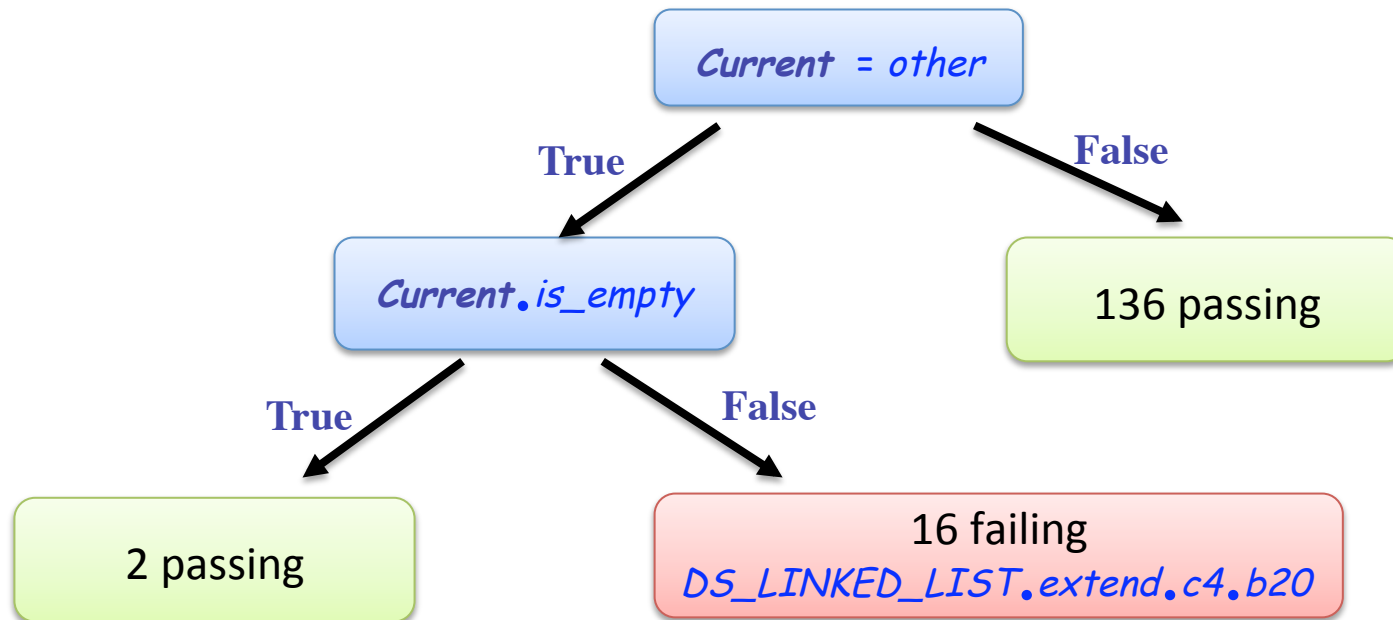


# Explaining faults revealed in failing tests

*extend (other: DS\_LINEAR[G]; i: INTEGER\_32)*

- Add items of `other` at `i`-th position. Keep items of `other`
- in the same order. Do not move cursors.

In several hours, AutoTest generated 144 test cases, most of them are passing, some of them are failing.



# Fixing faults revealed in failing tests



```
duplicate (n: INTEGER): ...  
do  
  pos := cursor  
  Result := new_chain  
  Result.forth  
  from until (counter = n) or after loop  
    Result.put_left(item)  
    forth  
    counter := counter + 1  
  end  
  go_to (pos)  
end
```

Faulty version

```
duplicate (n: INTEGER): ...  
do  
  pos := cursor  
  Result := new_chain  
  Result.forth  
  from until (counter = n) or after loop  
    if before then  
      forth  
    else  
      Result.put_left(item)  
      forth  
      counter := counter + 1  
    end  
  end  
  go_to (pos)  
end
```

Fixed version



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# Questions?