

IMPLEMENTING AND EVALUATING AN EXCEPTION MECHANISM FOR SCOOP

MASTER THESIS

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Abstract

Exception handling is an important part of software development. Handling exceptions in concurrent software is even more complicated: There can be asynchronous exceptions, but because of design choices in each language, no exception mechanism can be used everywhere.

Several exception mechanisms have been proposed for SCOOP, a concurrent object-oriented programming model. We focus on two of these exception mechanisms, the EiffelSoftware exception mechanism as well as the accountability mechanism.

We implemented the accountability mechanism, an exception mechanism which handles exceptions in a more expressive and less restrictive way than the Eiffelsoftware exception mechanism. We also developed variations of that mechanism, making it more expressive. After that, we evaluated both the Eiffelsoftware exception mechanism as well as the accountability mechanism in terms of usability, expressiveness and performance. The evaluation has shown us two points on which we could improve: We refined the accountability mechanism by adding another variant, making it even more expressive and we preserved the types of asynchronous exceptions, thus providing developers with more useful information.

Additionally, we implemented the duel mechanism on top of the ordinary exception mechanism, allowing two or more SCOOP processors to duel for a resource, instead of having to wait until the resource is eventually released.

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Introduction

In SCOOP multiple processors execute code in interleaved and potentially parallel fashion. SCOOP supports asynchronous (non-blocking) calls from objects on one processor to objects on another processor. This allows the *client* to continue while the *supplier* executes the received call. If the call terminates normally, the client does not need to be informed. But if the supplier *raises* an exception, the exception must be *propagated* to the client, who then has to change its control flow and *handle* the exception. The client may no longer be in a state where it can handle the exception though, since the client continued after placing the call on the supplier. An exception from such an asynchronous call is called an *asynchronous exception*. Such exceptions have a context, which consists of two parts: The *failed context* is the supplier's context, and the *responsible context* is the context of the client when it made the call. Exceptions are always the product of a *failure* of the supplier, namely to hold its promise to the client.

Several exception mechanisms have been proposed for SCOOP. This paper is about implementing the accountability mechanism as described in [1]. We then evaluate the EiffelSoftware exception mechanism as well as the newly implemented one. The contributions are:

- 1. An implementation of the accountability mechanism as described in [1].
- 2. Several test programs to verify the correctness of the implementation.
- 3. An evaluation of usability, expressiveness, and performance of both the existing implementation as well as the accountability mechanism.
- 4. A duel mechanism, which builds upon the exception mechanism, and which allows processors to duel for resources.

Section 2 presents an overview of SCOOP and its features, section 3 explains the exception mechanisms. Section 4 explains the implementation. The exception mechanisms are evaluated in section 5. Section 6 covers improvements for the accountability exception mechanism. The duel mechanism is explained as well as evaluated in section 7. A user as well as a developer guide can be found in section 8. Related work is discussed in section 9, references can be found in section 10. We present our conclusions in section 11.

SCOOP

In SCOOP, every object is attached to a processor, and that processor is called the object's *handler*. A *processor* is a thread that can execute actions on objects. An object's class describes what *features* can be applied to the object. A processor may be a CPU, but it can also be implemented as a thread; if a mechanism can execute calls sequentially, it can be a processor.

A variable x that is attached to a processor can have pointers to other objects with the same handler (non-separate objects) as well as objects with a different handler (separate objects). In case of a non-separate object, the call x.f is non-separate: It is synchronously executed by the handler. Here, x is called the *target* of the feature call. In case of a separate object, the feature call is separate: The supplier executes the call asynchronously. Asynchronous feature calls support concurrent execution of programs.

A good example of these concepts can be found in a simple producer and consumer example, shown in listing 2.1. Assume that both the producer as well as the consumer have their own handlers. We generally use the same word for both the object as well as the handler, so in this case "consumer" refers both to the actual consumer object as well as its handler.

The keyword *separate* means that the objects may have a different handler than the current object. In this example, both the producer as well as the consumer have access to the same buffer, through which they will communicate.

To make sure that only one object accesses the buffer at the same time, the buffer must be locked by that object. Locks required to execute a feature are specified in the argument list. All possible targets of separate type must be listed in the argument list, and the feature will only be executed once all of them are locked by the current processor. Locks are held for as long as the client executes its feature. Targets that are locked are called controlled, and targets that are not locked are called uncontrolled.

SCOOP supports *condition synchronization*: Preconditions are not simply evaluated to true or false, but they can be wait conditions. In the example 2.1, the precondition of *consume* states that the buffer must not be empty. This means that the execution of *consume* is delayed until the precondition evaluates

```
Listing 2.1: Eiffel: Producer-consumer example
```

```
producer: separate PRODUCER
1
\mathbf{2}
   consumer: separate CONSUMER
3
   buffer: separate BUFFER[INTEGER]
4
5
    consume ( buffer: separate BUFFER[INTEGER] ): INTEGER
6
    require
\overline{7}
      not buffer.is_empty
8
    do
9
      Result := buffer.item
10
   end
11
    produce ( buffer: separate BUFFER [INTEGER]; a_element:
12
       INTEGER )
13
    require
14
     not buffer.is_full
15
   do
16
      buffer.put (a_element)
17
    end
```

to true.

Listing 2.2 shows *lock passing*, another technique supported by SCOOP. In this scenario, the supervisor will act as the client, while the worker will be the supplier. The client has the ability to pass locks it currently holds to the supplier, including the lock to itself. This allows the supplier to place calls to the resources guarded by these locks. The client has to wait for the supplier to return the locks, so any call involving lock passing becomes synchronous.

SCOOP uses wait by necessity to determine when synchronization between supplier and client is necessary: The client will wait for a result from a call if that result is needed. In the example 2.1, Result := buffer.item is a synchronous call, since the result from buffer.item is required and therefore waited upon.

Listing 2.2: *Eiffel*: Supervisor-worker example

```
1 note
2
      description: "The supervisor will place a workload on a
          WORKER, but will remain available should the WORKER
          have any problems/questions."
3
4
   class SUPERVISOR
5
\mathbf{6}
      place_workload (a_worker: separate WORKER)
7
        do
8
          <code>a_worker.do_work</code> ( <code>Current</code> ) -- Allow the worker to
              place callbacks to the supervisor
9
        end
10
11
   end
```

The Exception Mechanisms

This chapter gives an overview of the two exception mechanisms. It explains the basic behaviour of processors, with a high-level event based algorithm.

3.1 EiffelSoftware Exception Mechanism

This exception mechanism was developed by EiffelSoftware. In this exception mechanism suppliers remember exceptions as well as the client responsible for them. The basic idea is that an exception caused by a client must eventually be returned to that client.

Algorithm 1 shows the interactions between a client p and suppliers $\{q_1 \dots q_n\}$ for a feature request f. The event based notation follows Cachin et al. [4].

Whenever a client locks a supplier (see Feature_application_start), the supplier remembers the client and that it is locked (see $\langle \text{Get} | p, [\text{LOCK}] \rangle$). In case the supplier fails, it executes the associated rescue clause to reestablish its consistency. Without a retry instruction (see Rescue_clause_end), the supplier remembers the exception as well as the client associated with it. It will never again execute any calls from that client (see Feature_call, after Rescue_clause_end has been executed), and the client will always get the same exception if it tries to interact with the supplier. The failed supplier will release all locks it holds, and wait until the client releases the lock on the supplier. The client will receive an exception whenever it asks for a report from the supplier, and the supplier reports a failure (see $\langle \text{Get } | p, [\text{GET_REPORT}] \rangle$ and $\langle \text{Get } | p, [\text{GET_REPORT_WAIT}] \rangle$). The client queries for such a report before placing a call on a supplier and after the supplier executed a synchronous call (see Feature_call). When the client unlocks the supplier (see $\langle \text{Get } | p, [\text{UNLOCK}] \rangle$), the supplier will no longer be locked, but still try to execute any remaining calls placed on it.

This approach allows exceptions to be passed back to whomever caused them, allowing processors to delegate work to other processors, and then later query for the result or the exception. It also makes sure that the client will get informed of possible exceptions in a timely manner, while not requiring any manual querying specifically for exceptions or other effort from the developer's side.

3.2 Accountability Mechanism

The core of this exception mechanism is *accountability*. Whenever clients query for any exceptions from suppliers, they are said to hold the suppliers *accountable*. A supplier is called *accountable* if it might in the future have to report exceptions to its client.

Algorithm 2 shows the interactions between a client p and suppliers $\{q_1 \dots q_n\}$ for a feature request f.

Whenever a client locks a supplier (see Feature_application_start), the supplier sets itself accountable and locked (see $\langle \text{Get} | p, [\text{LOCK}] \rangle$). In case the supplier fails, it executes the associated rescue clause to reestablish its invariant. Without a retry command (see Rescue_clause_end), the supplier remembers the failure and cleans up: It deletes any remaining feature requests from the client and waits until the client decides to release the lock (see $\langle \text{Get} | p, [\text{UNLOCK}] \rangle$) or until the supplier is held accountable (see (Get | p, [HOLD_ACCOUNTABLE])). Should the client hold the supplier accountable, it will propagate the exception to the client (see Feature_call). If a supplier is held accountable, the supplier becomes unfailed, meaning it is allowed to receive more calls. The client automatically holds the supplier accountable if it does a synchronous feature call. As soon as the client releases the lock of the supplier, the supplier dismisses the accountability (see $\langle \text{Get} | p, [\text{UNLOCK}] \rangle$). If there is still some work left from the client, the supplier will execute it. In case of failure, the supplier will not safe the exception, since it is no longer accountable (and therefore the client can never hold the supplier accountable). When there is no work left and the supplier is no longer accountable, the supplier forgets any exception it might have stored before (see **upon** $\neg accountable \land requests = ()).$

This exception mechanism allows exceptions to be lost, for example by never querying a processor after giving it some asynchronous work. Sometimes it is vital that no exception ever gets lost, and this is the purpose of the *safemode*. In case the safemode is used, the client will not only query for exceptions on synchronous calls, but also whenever it finishes executing its own feature body (see Feature_body_end). By doing so, the supplier will never be in a situation where it discards an exception, and no exceptions will be lost. The safemode is a slight variant of the original accountability mechanism, and can be turned on or off by the developer.

```
1 upon event (Initialize) do
     locked := false; client := Void; dirty_towards := (); requests := ();
 2
 3 upon event (Feature_application_start | f, \{q_1, \ldots, q_n\}) do
        forall the q_i \in \{q_1, \ldots, q_n\} do
 4
            trigger \langle Send | q_i, /LOCK \rangle;
 5
 6
        end
 7 upon event (Feature_call | f, q_i, is\_synchronous) do
        trigger \langle Send | q_i, | GET_REPORT | \rangle;
 8
        wait \langle Get | q_i, /REPORT, s \rangle;
 9
        if s = fail then
10
            rescue;
11
\mathbf{12}
        end
13
        trigger \langle Send | q_i, [FEATURE\_LOG, f] \rangle;
        if is_synchronous then
14
            trigger \langle Send | q_i, [GET\_REPORT\_WAIT] \rangle;
15
             wait \langle Get | q_i, /REPORT, s \rangle;
16
            if s = fail then
17
             rescue;
18
            end
19
\mathbf{20}
        \mathbf{end}
21 upon event (Feature_application_end | f, \{q_1, \ldots, q_n\}) do
        forall the q_i \in \{q_1, \ldots, q_n\} do
\mathbf{22}
            trigger \langle Send | q_i, (UNLOCK) \rangle;
23
        end
\mathbf{24}
25 upon event (\text{Get} | p, [\text{LOCK}]) do
    locked := true; client := p;
26
27 upon event \langle \text{Get} | p, [\text{FEATURE}\_\text{LOG}, f] \rangle do
\mathbf{28}
     requests := requests \bullet f;
29 upon event (Rescue_clause_end) do
        dirty\_towards := dirty\_towards \cup \{client\};
30
       requests := ();
31
32 upon event (\text{Get} | p, [\text{GET}_{\text{REPORT}})) do
        if p \in dirty\_towards then
33
            trigger \langle Send \mid p, | REPORT \text{ fail } \rangle;
\mathbf{34}
35
        else
            trigger \langle Send \mid p, | REPORT  success \rangle;
36
37
        \mathbf{end}
38 upon event \langle \text{Get} | p, [\text{GET_REPORT_WAIT}] \rangle such that requests = ()
    do
        if p \in dirty\_towards then
39
            trigger \langle Send \mid p, | REPORT \text{ fail } \rangle;
40
41
        else
            trigger \langle Send \mid p, /REPORT  success \rangle;
42
43
        \mathbf{end}
44 upon event (Get | p, [UNLOCK]) do
       locked := false;
\mathbf{45}
```

Algorithm 1: Asynchronous exception mechanism, EiffelSoftware implementation

```
1 upon event (Initialize) do
        locked := false; accountable := false;
 \mathbf{2}
        failed := false; requests := ();
 3
 4 upon event (Feature_application_start | f, \{q_1, \ldots, q_n\}) do
        forall the q_i \in \{q_1, \ldots, q_n\} do
 5
            trigger \langle Send | q_i, /LOCK \rangle;
 6
 7
        end
 s upon event (Feature_call | f, q_i, is_synchronous) do
        trigger \langle Send \mid q_i, [FEATURE\_LOG, f] \rangle;
 9
10
        if is_synchronous then
             trigger \langle Send | q_i, [HOLD\_ACCOUNTABLE] \rangle;
11
12
             wait \langle Get | q_i, [REPORT, s] \rangle;
             if s = fail then
13
                 rescue;
14
            end
15
16
        end
    upon event (Feature_body_end | f, \{q_1, \ldots, q_n\}, in\_safe\_mode \rangle do
17
18
        if in_safe_mode then
            forall the q_i \in \{q_1, \ldots, q_n\} do
19
                 trigger \langle Send | q_i, [HOLD\_ACCOUNTABLE] \rangle;
\mathbf{20}
                 wait \langle Get | q_i, [REPORT, s] \rangle;
\mathbf{21}
                 if s = fail then
\mathbf{22}
                     rescue;
\mathbf{23}
                 \mathbf{end}
\mathbf{24}
\mathbf{25}
            end
        end
\mathbf{26}
   upon event (Feature_application_end | f, \{q_1, \ldots, q_n\}) do
\mathbf{27}
        forall the q_i \in \{q_1, \ldots, q_n\} do
\mathbf{28}
29
            trigger \langle Send | q_i, [UNLOCK] \rangle;
30
        end
31 upon event \langle \text{Get} | p, [\text{LOCK}] \rangle do
     locked := true; accountable := true;
\mathbf{32}
33 upon event \langle \text{Get} | p, [\text{FEATURE}\_\text{LOG}, f] \rangle do
        if \neg failed then
\mathbf{34}
            requests := requests \bullet f;
35
36
        end
37 upon event (Rescue_clause_end) do
     failed := true; requests := ();
38
39 upon event \langle \text{Get} | p, [\text{HOLD}_\text{ACCOUNTABLE}] \rangle such that
    requests = () do
        if failed \land accountable then
40
             failed := false; trigger \langle Send \mid p, \langle REPORT fail \rangle \rangle;
41
        else
\mathbf{42}
            trigger \langle Send \mid p, /REPORT  success \rangle;
43
44
        end
45 upon event \langle \text{Get} | p, [\text{UNLOCK}] \rangle do
46 accountable := false;
47 upon \neg accountable \land requests = () do
     locked := false; failed := false;
\mathbf{48}
```

Algorithm 2: Asynchronous exception mechanism, accountability mechanism with and without safemode

Implementation

This chapter discusses how SCOOP and the various exception mechanism are implemented. We use high-level descriptions, if you are interested in the code, have a look at the developer guide in Section 8.2.

4.1 EiffelStudio SCOOP Implementation

SCOOP uses a *chains over queues system*, or COQS in short. To understand the implementation of the exception mechanism, it is vital to know how a COQS works.

EiffelSoftware implemented a simple COQS system, defined as follows: A COQS is defined as a finite set Q_1, Q_2, \ldots, Q_n called the *queues*, such that there exists a set of non-empty lists, called the *chains* with the following properties:

- 1. Every element of every queue belongs to exactly one chain.
- 2. Every element of every chain belongs to exactly one queue.

Every SCOOP processor P_i has a queue Q_i attached to itself. The chain elements of Q_i hold the work that processor P_i must execute.

Figure 4.1 shows a COQS as it is used in SCOOP: P as well as R would like to assign some work to Q. Since the calls from P and R should not interleave, two chains are necessary. P creates a chain with itself as *head* and Q as a *dependant*. R does the same. P and R can now assign some work to Q: P places the two calls f() and g() on Q while R places the call x(3).

Processors execute a processor loop, as shown in Algorithm 3. A processor gets the top chain node of its queue (for Q this would be the node containing f() and g()), then starts to work on the chain node. A processor only exits its current chain node if the head of the chain *closes* the chain (for Q, P would be the head). The head of a chain closes a chain only if it executed all feature requests of its chain node. Figure 4.2 shows the same processors, after a small



Figure 4.1: P and R place calls on Q



Figure 4.2: Q began working on its top chain node, P placed another call on Q

amount of time has passed: Q has executed the call f(), and P has placed another call on Q. Note that the call from P will be executed before the earlier call of x(3) from R. This is necessary since otherwise the calls from P and R would interleave.

Algorithm 3 shows the basic behaviour of a processor: A processor grabs its next chain node and proceeds to work on it. While a processor works on a chain node, it queries the chain node for the top feature request stored, and saves the request as the *current* feature request. The processor will then *execute* the current feature request. Executing a feature request means executing each call one by one. If a call has a separate target the client will place it as a feature request on the supplier. If a separate call is also synchronous, the client waits for the supplier for an answer (wait by necessity).

It is important to distinguish between chain nodes and actual feature requests: A chain node is placed on a queue and can contain any number of feature requests for a processor to execute. A feature request is always part of a chain node. This constraint makes sure that processors working on a chain cannot be disturbed, and no two clients interleave work on a supplier.

4.2 EiffelStudio Exception Mechanism Implementation

Algorithm 3 works as long as no organized panic happens. However, if asynchronous exceptions should be handled the algorithm needs to be extended. Algorithm 4 shows the changes needed to implement the EiffelSoftware exception mechanism ($work_on_chain$ was not changed and thus omitted). The client will look if the supplier is dirty towards it before placing a call as well as after waiting for the response from a placed separate synchronous call. Processors encountering an exception will look up their current client, and set themselves dirty towards it.

4.3 Accountability Mechanism Implementation

Algorithm 5 shows the processor loop when using the accountability mechanism with and without safemode (again, $work_on_chain$ was not altered and is therefore omitted). If the accountability mechanism is used, chains receive a new flag called *accountable*. If a chain is accountable or the safemode is turned on, the involved processors will store exceptions, and otherwise throw them away. Chains are accountable when created, and become unaccountable whenever the client releases its locks. This accountability mechanism makes sure that processors only store exceptions that have a chance to be later propagated back to the client that caused them. Processors have a new flag called *failed*. Processors start unfailed, and become failed if they enter an organized panic. Processors become unfailed whenever they propagate an exception back to the client or when the client releases its lock. Thus the mechanism ensures that the supplier is always unfailed when it is locked by a client.

If the safemode is turned on processors will query all their suppliers before releasing locks, thus preventing exceptions to be lost.

4.4 Testing

We developed many test cases in order to verify the exception mechanism performs as it should. In total, we developed 32 programs that test one or multiple aspects of an exception mechanism. You can find all these programs online when building our solution, see Section 8.2.1.

For very basic functionality testing, we developed six programs that deal with exception handling. These programs are of an academic nature, and do

1 Å	Algorithm processor_loop()				
2	initialization;				
3	while not processor.is_redundant do				
4	$current_chain_node := processor.queue.top;$				
5	$current_chain := current_chain_node.entire_chain;$				
6	while not (current_chain.is_closed \land current_chain_node.is_empty)				
	do				
7	work_on_chain (current_chain_node);				
8	if $processor = current_chain.head \land$				
	$current_chain_node.is_empty$ then				
9	$current_chain.close$				
10	end				
11	end				
12	processor.queue.pop;				
13	end				
1 F	Procedure work_on_chain(Chain_node node)				
2	if node.top != Void then				
3	$current_feature_request := node.top;$				
4	node.pop;				
5	${f if}\ current_feature_request.needs_new_locks\ {f then}$				
6	Create a new chain, spanning all processors which need to be				
	locked.				
7	end				
8	execute (current_ieature_request);				
9	end				
1 I	<pre>Procedure execute(Feature_request req)</pre>				
2	while req.body.top != Void do				
3	current_call := req.body.top;				
4	req.body.pop;				
5	if $current_call.target = processor then$				
6	Execute locally				
7	else if current_call.is_lock_passing_call then				
8	place_call(current_call, current_call.target); -Places the call (as				
	a feature request) on the target processor				
9	wait for result, and periodically check for calibacks.				
10	else if current_call.is_separate_synchronous_call then				
11	place_call(current_call, current_call.target);				
12	Wait for result (do not check for callbacks).				
13	else				
14	place_call(current_call, current_call.target);				
15	Do not wait, call is asynchronous				
16	end				
17	end				

Algorithm 3: Processor loop without support for exceptions

1 /	Algorithm processor_loop()				
2	initialization;				
3	while not processor.is_redundant do				
4	current_chain_node := processor.queue.top;				
5	current_chain := current_chain_node.entire_chain;				
6	while not (current_chain.is_closed \land current_chain_node.is_empty)				
	do				
7	work_on_chain (current_chain_node);				
8	if $processor = current_chain.head \wedge$				
	$(current_chain_node.is_empty \lor$				
	processor.is_in_organized_panic) then				
9	current_chain.close;				
10	end				
11	if processor.is_in_organized_panic then				
12	processor.set_dirty_towards(current_chain.head);				
13	Wake up current_chain.head; –The client may be waiting				
	for a result, it must be woken up.				
14	Break; -Exit while loop, continue without organized panic				
15	end				
16	end				
17	brocessor queue pop:				
18	end				
10 1 T	Proceedings executed (Feature meanest real)				
1 1	while mer had ton I - Void de				
2	while $req.oody.top := void do$				
3	current_can := req.body.top;				
4	$\mathbf{i} \mathbf{f}$ automatic all is concrete call \wedge current call target is dirty toward				
5	In current_call.is_separate_call \ Current_call.largel.is_alrig_loward				
	(processor) then				
0	and				
1	$\begin{array}{l} \textbf{Hu} \\ \textbf{if current call target} = processor \textbf{then} \end{array}$				
8	$\begin{array}{c} \mathbf{H} current-curren$				
9	also if aurrent call is look passing call then				
10	place coll(current coll current coll target). Diacos the coll (a				
11	place_call(current_call, current_call.talget), -Flaces the call (as				
10	Wait for regult, and periodically check for callbacks				
12	wait for result, and periodically check for calibacks.				
13	else if current_call.is_separate_synchronous_call then				
14	place_call(current_call, current_call.target);				
15	wait for result (do not check for callbacks).				
16					
17	place_call(current_call, current_call.target);				
18	-Do not wait, call is asynchronous				
19	end				
20	If current_call.is_separate_synchronous_call \land				
	current_call.target.is_dirty_towards (processor) then				
21	throw exception;				
22	end				
23	end				

Algorithm 4: Execute with support for the EiffelStudio exception mechanism

Г

1	Algorithm processor_loop()			
2	initialization;			
3	while not processor.is_redundant do			
4	$current_chain_node := processor.queue.top;$			
5	$current_chain := current_chain_node.entire_chain;$			
6	while not (current_chain.is_closed \land current_chain_node.is_empty)			
	do			
7	work_on_chain (current_chain_node);			
8	if processor.is_in_organized_panic \land			
	$(current_chain.is_accountable \lor safemode)$ then			
9	processor.set_failed;			
10	Wake up current_chain.head; –The client may be waiting			
	for a result, it must be woken up.			
11	end			
12	if $processor = current_chain.head \land$			
	current_chain_node.is_empty then			
13	if safemode then			
14	Wait for all suppliers to finish, then query if any are			
	failed. If one or more are failed, throw exception.			
15	end			
16	current_chain.close;			
17	current_chain.set_unaccountable,			
18				
19	end			
20	processor.queue.pop;			
21				
1	Procedure execute (Feature_request req)			
2	while req. oody. top != void do			
3	current_can := req.body.top;			
4	if processor is failed then			
о 6	-Only execute calls if the processor is not failed			
7	continue: -Go to the next iteration of the while loop			
	end			
9	if summent call target - processon then			
10	\mathbf{H} CHITPHL CHLLLATOPL = DIOCESSOT LIPED			
	Execute locally $-$ This can throw an exception			
11	Execute locally –This can throw an exception else if current call is lock passing call then			
1112	Execute locally –This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target): –Places the call on			
11 12	Execute locally –This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); –Places the call on the target processor			
11 12 13	Execute locally –This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); –Places the call on the target processor Wait for result, and periodically check for callbacks.			
11 12 13 14	<pre>If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then</pre>			
11 12 13 14 15	<pre>If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target);</pre>			
11 12 13 14 15 16	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks).			
 11 12 13 14 15 16 17 	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else			
111 122 133 144 155 166 177 188	<pre>If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); </pre>			
111 122 133 144 155 166 177 188 199	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); —Do not wait, call is asynchronous			
 11 12 13 14 15 16 17 18 19 20 	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end			
 11 12 13 14 15 16 17 18 19 20 21 	<pre>If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end if current_call.is_separate_synchronous_call ∧ </pre>			
111 12 13 14 15 16 17 18 19 20 21	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end if current_call.is_separate_synchronous_call ∧ current_call.target.is_failed then			
 11 12 13 14 15 16 17 18 19 20 21 22 	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end if current_call.is_separate_synchronous_call ∧ current_call.target.is_failed then throw exception;			
 11 12 13 14 15 16 17 18 19 20 21 22 23 	<pre>If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end if current_call.is_separate_synchronous_call ∧ current_call.target.is_failed then</pre>			
 11 12 13 14 15 16 17 18 19 20 21 22 23 24 	<pre>If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end if current_call.is_separate_synchronous_call ∧ current_call.target.is_failed then</pre>			
11 12 13 14 15 16 17 18 19 20 21 22 23 24 <i>A</i>	If current_call.target = processor then Execute locally -This can throw an exception else if current_call.is_lock_passing_call then place_call(current_call, current_call.target); -Places the call on the target processor Wait for result, and periodically check for callbacks. else if current_call.is_separate_synchronous_call then place_call(current_call, current_call.target); Wait for result (do not check for callbacks). else place_call(current_call, current_call.target); -Do not wait, call is asynchronous end if current_call.is_separate_synchronous_call ∧ current_call.is_separate_synchronous_call ∧ current_call.is_separate_synchronous_call ∧ end if current_call.is_failed then throw exception; end if current_sourcestor			

not illustrate a real-world problem. You can find these programs in the $Maude\ Tests$ folder in our delivery.

We developed another six programs to test basic functionality, but this time we tried emulating common problems, such as trying to read a non-existent file. These programs can be found in the *Small Tests* folder.

A testing process that only tests small parts of the overall solution is not sufficient. We changed two basic SCOOP examples to rely on exceptions instead of other functionality. You can find these programs in the *SCOOP modified Examples* folder. We implemented every example four times, once for every exception mechanism. The exception mechanisms have functional differences that make it impossible to write code that works with all four of them.

We implemented three more large projects to test very specific weaknesses of an exception mechanism. The idea was to see what effort a developer would have to undertake to make a certain program still work even with the "wrong" exception mechanism. You can find these files in the *Large Tests* folder. Again every project is implemented four times, once for every exception mechanism.

Evaluation

This chapter presents an evaluation of the exception mechanisms. The mechanisms were evaluated for usability, expressiveness and performance. An exception mechanism is considered *expressive* if it can be used to model many different types of problems without considerable workarounds by the developer. *Usability* is defined as how easily a developer can use the mechanism.

We implemented the following examples on every exception mechanism, and use them to gauge the expressiveness and usability of the exception mechanism.

- 1. Worker-aggregator, see Listings B.1 and B.2.
- 2. Filesystem, see Listings B.3, B.4 and B.5.
- 3. Producer-consumer, see Section 8.2.1 for building the solution.
- 4. Barbershop, see Section 8.2.1 for building the solution.

The producer-consumer as well as the barbershop example have one central server that is shared by multiple users. In the producer-consumer example, the central server is a bounded buffer that is used by both producers and consumers. Everyone tries to lock the shared buffer, and then either produce or consume an element. The buffer throws an exception if someone tries to consume from it even though it is empty or if someone tries to insert an element even though it is full. The example demonstrates the benefit of a failed supplier becoming unfailed: If the buffer would no longer accept calls from a producer or consumer, then the system would deadlock. Since there can be only one instance of the buffer, a client cannot simply create a new buffer and continue working on it.

In the *worker-aggregator* example, several workers are given some asynchronous tasks, but the original client will never again interact with them. Instead, a second client will later query the workers. The idea is that the first client is unable to handle exceptions from the tasks, and instead the second client should receive the exceptions. We came up with this example when we tried to find programs that would reveal weaknesses in exception mechanisms. The *filesystem* example tries to combine the difficulties from the other examples. Several workers share a central service, which they use to access files. However, the workers must be able to query the service in a non-blocking way. Since SCOOP blocks whenever a result is not available, the workers can't simply query the service. Instead, the service accepts work, but then sends any answers to a separate answer register. The workers query the answer register, which will immediately return the file contents, an empty string (if no content is available currently) or an exception (if there was an exception when reading the file). This example is not handled *correctly* by any of the exception mechanisms, some workarounds are always required. That makes the example a good opportunity to study how easily the exception mechanism can be adapted to fit a situation it was not originally intended for.

5.1 EiffelSoftware Exception Mechanism

5.1.1 Usability and Expressiveness

From a theoretic point of view, the EiffelSoftware exception mechanism is quite easy to use. It is clear who will receive exceptions, and at first it looks quite robust. The implementation of the mechanism has problems when it comes to certain types of SCOOP programs however:

- 1. Since the supplier saves an exception indefinitely, this can lead to some problems for the client: A client might not always be able to handle all possible exceptions from earlier calls to the supplier. For example, a client might use the same supplier for multiple purposes. It will place a workload on the supplier, and later try to place another workload on the client, then receiving an exception. Developers using the current implementation must therefore always make sure that any exception the supplier might throw from earlier workloads can always be handled. A program showing this problem can be found in Listing 5.1.
- 2. Another problem of the supplier indefinitely saving exceptions is that it can never become unfailed. This means for the client that once the supplier has an exception, it can never use the supplier again. The client must recreate the entire supplier again, adding a lot of complicated code. It might not even be possible to simply create a new supplier, for example if the supplier uses the singleton pattern. The singleton pattern dictates that at most one instance of the class can exist, and no further instances may be created.
- 3. It is also possible to lose exceptions. For example, a client places a call on the supplier, and later a second client queries the supplier for the result. In that example, the first client never again interacts with the supplier, so the exception is never propagated and thus lost. A program showing this can be found in Listings B.1 and B.2

The EiffelSoftware exception mechanism performs well in systems where a client does not need to interact with a failed supplier again. Generally every

Listing 5.1: *Eiffel*: Past exceptions class

```
1 note
2
     description: "Clients must be able to handle any prior
         exceptions from their suppliers."
3
4
   class PAST_EXCEPTIONS
5
6
   create
\overline{7}
     make
8
   feature
9
     make
10
        local
11
          l_worker: separate WORKER
12
        do
13
          create l_worker.make
14
          a (l_worker)
15
         b (l_worker)
16
        end
17
     a (a_worker: separate WORKER)
18
19
       do
20
          a_worker.async_call_fail ---This places an
             asynchronous call on the worker, which will later
              fail.
21
        rescue
22
          ---Since there is no further interaction with a_worker,
               this rescue clause is never used
23
        end
24
25
     b (a_worker: separate WORKER)
26
        do
27
          a_worker.sync_call
                                ---This places a synchronous call
               on the worker, which would complete normally. But
              due to the earlier failing call, the worker will
              instead return an exception here!
28
        rescue
29
          ---This rescue clause must be able to handle the
              exception that was caused by the failing call
              placed on a_worker in a(). A behaviour like this
              makes the program unnecessarily complicated and
             hard to follow.
30
        end
31
32
   end
```

program where a failed supplier can be discarded can be modeled using this exception mechanism. A good example would be the *worker-aggregator* program, if the aggregator and root class were rolled into one.

To summarize: The mechanism is easy to use, and developers will quickly learn how to effectively use it. Developers will however face difficulty when using the mechanism to construct larger systems: The client must be able to handle all prior exceptions from a supplier, so developers must add many safeguards, increasing code complexity. Interactions between clients and suppliers can be modeled if no exceptions occur, or if after the exception is propagated the client does not have any further business with the supplier. In the case that the client *does* need further communication with a dirty supplier, then the developer must add code to the client, allowing it to construct a new supplier. This issue can greatly increase code complexity or might even be impossible to solve.

5.2 Accountability Mechanism without Safemode

5.2.1 Usability and Expressiveness

The accountability mechanism requires developers to think in terms of locks: They must know which processor currently locks which other processors, and they must understand that exceptions disappear as soon as these locks are lifted. It is possible that a developer does not wish to work with an exception mechanism that allows exceptions to be lost; For these cases, the accountability mechanism without safemode is clearly a bad fit, and this led to the implementation of the accountability mechanism with safemode, which is evaluated in Section 5.3. Additionally, developers must understand the difference between synchronous and asynchronous calls, since asynchronous calls will never return exceptions.

Exceptions can be forgotten by processors because of design by contract: Even if a supplier fails, it must be able to satisfy its invariant. In other words, it must be able to receive some further work, and thus should be able to forget an exception. If a client releases the lock of the supplier, it can no longer interact with it in any way, and thus it is safe for the supplier to forget the exception. Forgetting exceptions gives some additional guarantees to clients, namely that a processor is unfailed whenever a lock on it is acquired. This means clients do not have to handle exceptions that were caused earlier.

The accountability mechanism without safemode also has problems when it comes to certain SCOOP programs:

1. The mechanism that the supplier forgets any exceptions as soon as the client releases the lock can have some problematic side effects. It can happen that a client locks a supplier, just to place some asynchronous calls on it. In these cases, exceptions will be lost. An example of this behaviour can be found in the *producer-consumer* program: The buffer returns exceptions whenever *produce* or *consume* is not immediately possible. Since exceptions are lost as soon as the lock is released, producers must add

a synchronous call if they want to receive exceptions. Otherwise, not all elements the producers try to insert into the buffer actually end up in the buffer, so in the end the consumers still try to *consume* further elements, while the producers have long stopped producing. This means the program never terminates.

2. It is possible that the client performing the call on the supplier is not actually able to handle exceptions caused by that call. For example, a client places a call on the supplier, and later a second client queries the supplier for the result. In that example, the first client never again interacts with the supplier, so the exception is never propagated and thus lost. A program showing this can be found in Listings B.1 and B.2.

If a system requires a failed supplier to be able to take on new work, then this exception mechanism works very well. For example, *producer-consumer* as well as *barbershop* are two examples where this approach works very well, albeit with minor changes.

In conclusion, the accountability mechanism is slightly harder to grasp the first time it is used, but it pays off by allowing developers to write cleaner code. They no longer have to take indefinitely failed processors as well as exceptions from the past into consideration when coding. This model allows SCOOP processors to truly behave like objects with contracts by leveraging the principles of design by contract. Developers should find this model more intuitive and easier to use, once they have grasped the finer nuances of it.

5.3 Accountability Mechanism with Safemode

The accountability mechanism with safemode was invented to be an alternative to the accountability mechanism without safemode. It allows developers to easily code some of the SCOOP programs that are difficult to code when using the accountability mechanism without safemode.

If developers know how the accountability mechanism without safemode behaves, they will be able to use this mechanism without problems. One main point to be remembered is that at the end of the client's body, the client must wait for all suppliers. This means that a client has to carefully plan which jobs it places on its suppliers and which jobs it executes itself. Otherwise, performance will suffer.

This altered mechanism is not without problems, though:

1. Since the accountability mechanism with safemode dictates that at the end of the client body the client will wait for all suppliers, this complicates long-lived entities. When working with those entities, the client is always waiting for its suppliers. An example for this can be found in listing 5.2. This forces the client to lock long-lived entities once, and then pass their locks around to stop them from being unlocked. For these programs, consider using the accountability mechanism without safemode.

```
Listing 5.2: Eiffel: Long lived entities class
```

```
1
   note
\mathbf{2}
      description: "Long-lived entities with safemode."
3
4
   class LONG_LIVED_ENTITIES
5
6
    create
\overline{7}
     make
8
    feature
9
     make
10
        local
11
          i: INTEGER
12
          l_worker: separate WORKER
13
        do
14
          from
15
            i := i
16
          until
17
            i > 5
18
          100p
19
             create l_worker.make
20
             start_work (l_worker)
21
          end
22
        end
23
24
      start_work (a_worker: separate WORKER)
25
        do
26
          a_worker.long_work --- This asynchronous call is
              intended to give the worker some work, while the
              application can continue. But since the safemode is
               turned on, the application actually has to wait
              until the worker has finished, meaning this code is
               just as fast as sequential code.
27
        end
28
29
   end
```

2. It is possible that the client performing the call on the supplier is not actually able to handle exceptions caused by that call. For example, a client places a call on the supplier, and later a second client queries the supplier for the result. In that example, the first client is forced to handle the exception, even though it has no way of doing so.

This exception mechanism works best with programs where an omitted exception could be fatal. A good example would be *producer-consumer*: If the accountability mechanism without safemode is chosen, then extra care must be taken that no exceptions are lost (synchronous query from the producers). With the safemode on, this extra code is no longer needed.

5.4 Performance Analysis

Performance is an important aspect of any program. We wanted to gauge how fast the exception mechanisms were when compared to one another.

We present micro-benchmarks showing the performance of individual aspects of the system. The different exception mechanisms made it impossible for us to run meaningful macro benchmarks. We thought about implementing a problem multiple times, once for every exception mechanism. The resulting benchmark would have been unfair though: If we choose a problem that favors one exception mechanism over the other, then any implementation using the other exception mechanisms is less performant. The EiffelSoftware exception mechanism cannot work with a failed supplier, so the only examples left allow failed suppliers to be discarded. The accountability exception mechanism was explicitly constructed to work with failed suppliers. So either way our macro benchmarks would be biased.

The benchmarks were executed under Linux Mint, on an Acer Aspire V3-771G. This laptop features an Intel Core i7 at 2.4 - 3.4 GHz, as well as 16 GB RAM and a 120 GB SSD. Note that SCOOP is not aimed at high-performance parallel machines. It is still important to get an overview of the performance of our system, for example to assess if the benefit of added flexibility is not outweighed by slower performance.

The aim of benchmarking is to investigate the relationships between different exception mechanisms. This investigation can be used to identify bottlenecks in the system. Timings were obtained using the C *gettimeofday* command. This command returns the seconds as well as the microseconds since the Epoch. All benchmark code was inserted by hand to ensure accuracy. The benchmark programs are a number of Eiffel programs specifically designed to test the individual aspects being timed.

You can find the results in Table 5.1. The first column holds the name of the benchmark. Next to it is a shorthand notation of what exception mechanism was used. Accountability refers to the accountability mechanism with safemode. EiffelSoftware refers to the EiffelSoftware exception mechanism. The column repetitions contains how often we measured. You can find the mean of all results in the next column. The last two columns contain the 95% and 99% percentile respectively.

The first two rows are the results of the *sync call* benchmark. Synchronous calls are placed by a client on a supplier, with the client waiting for the answer. No exceptions are thrown. This benchmark shows the overhead incurred when using an exception mechanism that is not used. The accountability mechanism is slightly worse off against the Eiffelsoftware implementation by around 6%.

The second pair of rows shows the *async call* benchmark. Here the client places asynchronous calls on the supplier, and then continues without querying the supplier. None of these calls cause exceptions either. Since no changes were made to how asynchronous calls are placed, this outcome was expected.

The next pair shows the time taken to *lock* a supplier processor. There were no changes to this functionality, so an even outcome was expected.

Benchmark	Mechanism	Repetitions	Mean	$q_{0.95}$	$q_{0.99}$
Sync call	EiffelSoftware	200k	18.37 μs	$4 \ \mu s$	$9 \ \mu s$
Sync call	Accountability	200k	19.60 μs	$7 \ \mu s$	$12 \ \mu s$
Async call	EiffelSoftware	100k	$0.52 \ \mu s$	$1 \ \mu s$	$5 \ \mu s$
Async call	Accountability	100k	$0.54 \ \mu s$	$1 \ \mu s$	$4 \ \mu s$
Locking	EiffelSoftware	100k	$0.78 \ \mu s$	$1 \ \mu s$	$6 \ \mu s$
Locking	Accountability	100k	$0.77 \ \mu s$	$1 \ \mu s$	$6 \ \mu s$
Safemode	Accountability	100k	$62.49 \ \mu s$	$64 \ \mu s$	$75 \ \mu s$
sync					
Local excep-	EiffelSoftware	100k	$12.03 \ \mu s$	$43 \ \mu s$	$76 \ \mu s$
tion					
Local excep-	Accountability	100k	$2.09 \ \mu s$	$3 \ \mu s$	$8 \ \mu s$
tion					
Propagate	EiffelSoftware	100k	$100.82 \ \mu s$	$178 \ \mu s$	$273 \ \mu s$
exception					
Propagate	Accountability	100k	$17.30 \ \mu s$	$22 \ \mu s$	$29 \ \mu s$
exception					

Table 5.1: The measured results of various benchmarks.

The seventh row shows the synchronisation step when a client finishes executing its feature body. This step only occurs if the safemode is used. The client has to query all suppliers; In this benchmark, there was only one supplier to be queried. The time this step takes might seem quite high, but the step involves the client querying the supplier, and the supplier sending an answer. If an application using the safemode is correctly optimized, this step should not occur too often.

Rows eight and nine show the time taken to for a *local* organized panic. A local panic is measured from the time the exception is raised until the executing processor sets itself dirty or failed. Processors using the accountability mechanism handle exceptions quite fast, by simply storing the exception and setting themselves failed. A Processors using the EiffelSoftware exception mechanism has to do some more work, for example it has to find out its current client, and then set itself dirty towards that client.

The last pair shows how fast an exception can be propagated between processors. This exception propagation includes the time taken for the supplier to have a local organized panic. We chose this measurement because it nicely demonstrates the entire subprocess of receiving, handling and propagating exceptions. Again the accountability mechanism is significantly faster; The client simply checks the failed flag of the supplier, and immediately knows if there was an exception.

To summarize: The accountability mechanism is faster when confronted with exceptions, whereas the EiffelSoftware implementation has a slight advantage if no exceptions are thrown. We believe that the accountability mechanism can reach the same performance as the EiffelSoftware mechanism if it is improved in the future.

You can find these files in the *Benchmarks* folder when building the solution,

see Section 8.2.1.

Improvements

This chapter presents improvements which we developed after the evaluation phase. It explains how we added another mode for the accountability mechanism as well as how we preserved the type of asynchronous exceptions.

6.1 Accountability Mechanism Never Forget

As stated during the evaluation of the accountability mechanism with and without safemode, there are still some SCOOP programs that require the developer to manually propagate exceptions. We extended the accountability mechanism further, by adding a mode which we call *never forget*. This new mode can be used to model problems that cannot be modeled when using the accountability mechanism or the Eiffelsoftware exception mechanism.

This mode behaves like the accountability mechanism without safemode, except no exceptions get deleted when locks are released.

6.1.1 Evaluation

Since the accountability mechanism never forget does not forget exceptions on lock releases, this brings two important changes:

- 1. If a client only places asynchronous calls on a supplier, the supplier can become failed, and it will not forget it. If then at some later point a second client locks the supplier and queries it, the second client will receive any exception that was stored earlier, just as intended.
- 2. This very behaviour also has an important downside: A client can no longer be sure that a supplier is unfailed whenever it is locked. It is now possible that a client will get an exception from a supplier that it can neither handle nor that it caused. Developers will have to remember this downside.

Only use the accountability mechanism never forget when a situation like worker-aggregator (see Listings B.1 and B.2, compare to Listing 6.1) arises. Otherwise, use the accountability mechanism with or without safemode.

6.1.2 Performance

The accountability mechanism never forget is a variant of the original accountability mechanism without safemode when it comes to performance. Usually they are on par, but there are some exemptions to that rule. If there are a lot of occasions where the accountability mechanism without safemode would have lost exceptions, then using the new accountability mechanism never forget can lead to a big slowdown, since the exceptions may no longer be lost, but instead propagated and handled.

6.2 Exception Types

When evaluating the different exception mechanisms, we found an additional problem that developers will have to face. The type with which exceptions are passed between processors is always changed to a generic developer exception. In Eiffel there are many exception types, such as operating system failure, or precondition violation. This creates a problem, as the example web-exceptions shows: The root class (Listing 6.2) delegates work to the web supplier (Listing 6.3), and later queries the supplier. The supplier can either return a result, a timeout exception or a 404 exception. Before our improvement, the supplier either returns a result, or simply a developer exception.

The client will have no way of knowing whether the supplier has encountered a timeout or a 404 error. But in this case, such a distinction is vital: If a timeout occurred, the client should simply print a quick message to the user and retry issuing the command. In case of a 404 error the client must show an error message to the user and abort. There is no chance a call that produced a 404 error will succeed the next time it is attempted.

We changed the implementation of SCOOP in such a way that the actual exception is saved, ready to be passed to the client. When the time comes, the SCOOP runtime environment throws the correct exception to the client, and doesn't have to create a *developer exception* from scratch.

This should enable developers to work with exceptions in a more efficient way, they will immediately know what type of exception was passed around.

Listing 6.1: *Eiffel*: Modified aggregator class

```
1 note
2
      description: "The aggregator class (modified for never
         forget), which is used to aggregate the results of all
         workers."
3
4
   class AGGREGATOR
5
6 create
7
     make
8 feature
9
     make
10
       do
11
         create workers.make
12
        end
13
14
     receive_worker (a_worker: separate WORKER)
15
       do
16
         workers.put_front (a_worker)
17
        end
18
19
     collect
20
       --Collect results from all the workers.
       do
21
22
         from
23
           workers.start
24
         until
25
            workers.after
26
          100p
27
            receive_results (workers.item)
28
            workers.forth
29
          end
30
        end
31
32
     receive_results (a_worker: separate WORKER)
33
       local
34
         b: BOOLEAN
35
       do
36
         b := w.get_result --- This call now yields the exception
              caused ealier by the root class.
37
        rescue
38
         ---And here we can handle the exception.
39
        end
40 feature {NONE} ---Internal
41
     workers: LINKED_LIST[separate WORKER]
42 end
```

```
Listing 6.2: Eiffel: Exception type demo root class
```

```
1
  note
2
     description: "This root class interacts with the web
         supplier."
3
   class EXCEPTION_TYPE_DEMO
4
5
6
   create
7
     make
   feature
8
9
     make
10
       local
          l_web_supplier: separate WEB_SUPPLIER
11
12
       do
13
         create l_web_supplier.make
          work (l_web_supplier, "http://www.example.com/index.
14
                      --Works on first try, get response
             html")
15
          work (l_web_supplier, "http://www.far-away.cn/index.
                         ---Timeout, will work after a while
             html")
          work (l_web_supplier, "http://www.unreachable.com/
16
             doesnotexist.html") ---404 Error
17
       rescue
18
          -- If this rescue clause is reached, the program is
             aborted. This should only happen if a 404 exception
              is encountered.
19
       end
20
     work (a_web_supplier: separate WEB_SUPPLIER; a_str: STRING
         )
21
       local
22
         response: BOOLEAN
23
         l_exception_404: EXCEPTION_404
24
         l_exception_timeout: EXCEPTION_TIMEOUT
25
       do
26
         response := a_web_supplier.serve_request (a_str)
27
         print ("Gotten response. Everything is fine.%N")
28
       rescue
         l_exception_404 ?= (create {ISE_EXCEPTION_MANAGER}).
29
             last_exception
30
          l_exception_timeout ?= (create {ISE_EXCEPTION_MANAGER
             }).last_exception
31
          if l_exception_timeout /= Void then
32
           print ("Timeout received, simply trying again...%N")
33
           retry
34
          elseif l_exception_404 /= Void then
35
            print ("404 exception received, not handling that
               error.%N")
36
          else
37
           print ("Unknown error received, not handling it.%N")
38
          end
39
       end
40
   end
```

Listing 6.3: *Eiffel*: Web supplier class

```
1
   note
      description: "The web supplier tries to take URLs and
\mathbf{2}
         deliver responses."
3
4
   class WEB_SUPPLIER
5
6
   create
7
     make
8
   feature
9
     make
10
        do
11
          counter := 1
12
        end
     serve_request (a_url: separate STRING): BOOLEAN
13
14
        local
15
          l_url: STRING
16
        do
          create l_url.make_from_separate (a_url)
17
          --For simplicity we do not actually make web requests,
18
              but deliver the answer directly.
19
          if l_url.is_equal("http://www.far-away.cn/index.html")
               and counter <= 3 then
20
            counter := counter + 1
21
            exception_timeout.raise
22
          elseif l_url.is_equal("http://www.unreachable.com/
              doesnotexist.html") then
23
            exception_404.raise
24
          else
25
            Result := True
26
          end
27
        end
28
   feature {NONE}
29
     counter: INTEGER
30
      exception_404: EXCEPTION_404
31
        once
32
          create Result
33
        end
34
      exception_timeout: EXCEPTION_TIMEOUT
35
        once
36
          create Result
37
        end
38
   end
```

Duels

One major problem with the locking of SCOOP processors is that while a client locks a supplier, no other processor may interfere. The idea that locks are always held until the client releases them greatly complicates SCOOP programs where one processor should have a higher priority than the others. Duels allow a processor to be unlocked prematurely.

So far developers had two options: Either accept these delays and try to work around them as best as possible or simply make all processors release and reacquire all locks in short intervals. The first solution complicates code. The second approach is also not really a good fit, since it leads to contention of the locks, and therefor performance drops. It also does not guarantee that the important SCOOP processor can acquire the necessary locks.

There is a solution to this problem, however: The duel mechanism allows a client that currently holds the lock for a supplier (called a *holder*) to prematurely release that lock to some other processor that would like to acquire the lock (called the *challenger*). Since the holder and the challenger will fight for the lock, this mechanism is called a *duel mechanism*. It was first mentioned in [3], page 999+.

The mechanism allows a SCOOP processor to have both a holder behaviour as well as a challenger behaviour. The holder behaviour can either be *retain* or *yield. Retain* means that locks are not released and is activated by default. *Yield* enables the processor to yield its locks, meaning others can acquire them even if the current processor has not regularly released them. The challenger behaviour can either be *wait_turn*, *demand* or *insist. Wait_turn* is enabled per default and means that the challenger will patiently wait until the locks become regularly available, even if the holder is willing to give them up earlier. *Insist* tries to interrupt the holder, but if the holder retains its locks, the challenger waits. Lastly, *demand* is a "now or never" tactic: The challenger tries to interrupt the holder, but if that fails the challenger gets an exception. This is intended for real-time systems, where it is unacceptable for the challenger to wait.

The following table shows all possible conflicts as well as their outcome. Underlined options are activated by default.

Challenger Behaviour \Rightarrow	$wait_turn$	insist	demand
Holder Behaviour \Downarrow			
retain	Challenger	Challenger	Exception in
	waits	waits	challenger
yield	Challenger	Exception in	Exception in
	waits	holder's rou-	holder's rou-
		tine	tine

7.1 Implementation

The implementation was closely integrated into the existing SCOOP runtime system. Every SCOOP processor can simply execute the features set holder behaviour retain, set holder behaviour yield, set challenger behaviour wait turn, set challenger behaviour demand or set challenger behaviour insist at any place and time to set the corresponding behaviour.

Processors will no longer apply calls from clients that lost their lock. The interesting part about the duel mechanism is how locks are acquired, see Algorithm 6. Whenever a processor would like to lock a supplier, it will query the behaviour of the current holder, and then act accordingly. If there is no current holder, then the lock is acquired immediately.

	input : A processor <i>supplier</i> which is to be locked
1	$challenger_behaviour := processor.challenger_behaviour;$
2	$current_holder := supplier.client;$
3	if $current_holder \neq Void \land supplier.locked$ then
4	$holder_behaviour := current_holder.holder_behaviour;$
5	if $(holder_behaviour = retain \land challenger_behaviour = wait_turn) \lor$
	$(holder_behaviour = retain \land challenger_behaviour = insist) \lor$
	$(holder_behaviour = yield \land challenger_behaviour = wait_turn)$ then
6	Wait until supplier.locked is false, then try again
7	else if $holder_behaviour = retain \land challenger_behaviour = demand$
	then
8	throw exception;
9	else if $(holder_behaviour = yield \land challenger_behaviour = demand)$
	\lor (holder_behaviour = yield \land challenger_behaviour = insist) then
10	current_holder.cancel_lock –Makes sure the current holder has lost
	the lock for the supplier;
11	Acquire lock;
12	end
13	else
14	Acquire lock;
15	end

Algorithm 6: Acquiring a lock, executed by the challenger processor

7.2 Evaluation

The duel mechanism works as intended. It allows developers to easily implement models that call for processors which yield their locks prematurely. The mechanism has further potential, which is discussed in Section 10.2.

Listings 7.1 and 7.2 give a nice example of how the duel mechanism can be used: The *boss* will issue constant work to the *secretary receptionist*. There will also be a *customer* that comes in and interrupts the *secretary receptionist* every once in awhile. In order for the *customer* to be able to interrupt, the *boss* will *yield* the locks it holds and the *customer* will *insist* upon obtaining locks.

7.3 Testing

We developed seven additional tests to test the functionality of the duel mechanism implementation. You can find these programs in the *Duel Tests* folder when building our solution, see Section 8.2.1.

The tests for the duel mechanism consist of six small programs that test the base cases of two processors dueling with each other. The seventh program is the real-world *Secretary Receptionist* example, taken out of [3], page 1001. In this program, a *Boss* and a *Customer* duel for the *Secretary Receptionist*'s attention. The boss has a lot of work for the secretary, but every now and then a customer enters with a quick query. Since customers are important and often impatient, the boss *yields* in favor of a customer, so that the secretary can temporarily turn into a receptionist and serve a customer's request. As soon as the customer is served, the boss tries to assign work to the secretary again.

Listing 7.1: *Eiffel*: Boss class

```
1 note
2
      description: "The Boss will periodically issue work to the
          secretary, but it will allow the secretary to be
         interrupted by customers."
3
   class
4
     BOSS
5
   create
6
     make
7
   feature
8
     make (sr: separate SECRETARY_RECEPTIONIST)
9
       do
10
          secretary_receptionist := sr
          placed_work := 0
11
12
          set_holder_behaviour_yield --- The BOSS is willing to
13
             yield locks to challengers that either insist or
             demand locks.
14
        end
15
     live
16
       do
17
          from
18
19
          until
20
            placed_work >= 100000
21
          loop
22
            issue_work (secretary_receptionist)
23
          end
24
        end
25
      issue_work (sr: separate SECRETARY_RECEPTIONIST)
26
        --Will work with the secretary until interrupted
27
       local
28
          b, interrupted: BOOLEAN
29
       do
30
          if interrupted then
            -- BOSS has been interrupted, will try to regain
31
                lock.
32
          else
33
            from
34
35
            until
              placed_work >= 100000
36
37
            loop
38
              b := sr.do_work
39
              placed_work := placed_work + 1
40
            end
41
          end
42
        rescue
43
          interrupted := True
44
          retry
45
        end
      secretary_receptionist: separate SECRETARY_RECEPTIONIST
46
47
     placed_work: INTEGER
48 end
```

Listing 7.2: *Eiffel*: Customer class

```
1
   note
\mathbf{2}
      description: "The customer will try to interrupt the
          receptionist in order to get some quick info."
3
   class
4
     CUSTOMER
5
   create
6
     make
\overline{7}
   feature
8
     make (sr: separate SECRETARY_RECEPTIONIST)
9
        do
10
          secretary_receptionist := sr
11
          placed_work := 0
12
13
          set_challenger_behaviour_insist ---The CUSTOMER will
              insist upon locks, thus it will interrupt lock
              holders willing to yield locks
14
        end
15
     live
16
        do
17
          from
18
19
          until
20
            placed_work >= 100
21
          loop
22
            interrupt (secretary_receptionist)
23
            wait -- Wait for some time, to simulate the customer
                 only coming in once in awhile
24
          end
25
        end
26
      interrupt (sr: separate SECRETARY_RECEPTIONIST)
27
        --Just a quick query
28
        local
29
          b: BOOLEAN
30
        do
31
          b := sr.do_work
32
          placed_work := placed_work+1
33
        end
34
      secretary_receptionist: separate SECRETARY_RECEPTIONIST
35
     placed_work: INTEGER
36
   end
```

Guides

8.1 User Guide

This user guide is intended for developers looking to make use of the various SCOOP exception mechanisms. It is not intended for developers looking to change parts of the implementation. If you wish to change the implementation, have a look at the developer's guide in Section 8.2.

8.1.1 Choosing your Exception Mechanism

Should you wish to choose or change the exception mechanism used in your project, there is an easy way to do this. You can also change the exception mechanism of a project later, by using the same few steps:

- 1. Open EiffelStudio and highlight your project.
- 2. Now click on the "Edit Project" button; a new window will pop up.
- 3. On the left of the new window, select the "Advanced" entry in the list.
- 4. On the right you will now see several configuration options appear. Look for the two saying "SCOOP Safemode" and "SCOOP Never Forget".
 - (a) If you wish to use the accountability mechanism without safemode or never forget, simply set both of these entries to "False".
 - (b) If you wish to use the accountability mechanism with safemode, set "SCOOP Safemode" to "True", and "SCOOP Never Forget" to "False".
 - (c) If you wish to use the accountability mechanism with never forget, set"SCOOP Safemode" to "False", and "SCOOP Never Forget" to "True".
- 5. Click on the "OK" button on the lower right. The window will close.

6. Now check the "Clean" checkbox. Also select "Compile" from the "Action" dropdown list. This forces a recompile. You should always recompile your project after changing the exception mechanism.

There is no way to currently set the exception mechanism when creating a new project. The exception mechanism will default to the accountability mechanism without safemode. If you wish to create a new project with a different exception mechanism, first create the project with the default exception mechanism, then close EiffelStudio. Now follow the instructions above to select your exception mechanism.

8.1.2 Quick Recap of Some Important SCOOP Facts

When using any of the available accountability mechanisms, there are a few important things that should always be remembered:

- 1. A client can only place calls on a supplier if the client holds a lock of the supplier.
- 2. While a client holds a lock on a supplier, no other SCOOP processor may place calls on the supplier or the client. So if possible, do not hold these locks longer than necessary.
- 3. Commands that the client places on the supplier allow the client to continue without waiting. These calls are asynchronous. Commands are calls which do not return a result, and which do not have any of the client's held locks as arguments.
- 4. Queries that the client places on the supplier make the client wait until the supplier answers. These calls are synchronous. Queries always have a return value.
- 5. If the client places a lock-passing call on the supplier, then the client must also wait for the supplier. As such, these calls are synchronous, no matter whether they are commands or queries. Lock-passing calls use one or more of the locks the client holds as an argument.
- 6. Whenever possible, handle exceptions in the supplier, without passing them to the client. This is faster and allows the client to continue undisturbed. If you can't handle exceptions in the supplier, make sure the client has the corresponding exception handling necessary to handle them.

8.2 Developer Guide

This developer guide is intended for developers who want to change the behaviour of the SCOOP exception mechanism.

8.2.1 Where to Find and Build the Solution

It is required that you have a working version of EiffelStudio (not EVE) installed on your system. You can download EiffelStudio from

http://www.eiffel.com/products/studio/

We used EiffelStudio 7.3.92665. You may want to get a version as close as possible to this release, or your results might not exactly match ours. Once you have EiffelStudio set up and working you must download EVE. Our code only extends EVE, and cannot run on its own. You can find a tutorial about EVE here:

```
https://trac.inf.ethz.ch/trac/meyer/eve/wiki
```

Our code is based upon revision 92789, and we recommend getting exactly that revision. There may be errors when merging EVE with our code files if you use a different version, proceed with caution. After you have downloaded EVE, download our extension:

```
http://se.inf.ethz.ch/student_projects/florian_besser/
```

Our source code is delivered in the form of a patch. Please extract the downloaded archive, move to your EVE Src directory and then use this command to merge EVE with our patch:

patch -p0 -i path/to/thesis.diff

Then continue compiling and running EVE as described in the tutorial.

8.2.2 SCOOP Framework

We observed some interesting implementation details of which you should be aware:

- 1. The features of *ISE SCOOP MANAGER* are executed at a time where you cannot create new objects. If you need any new objects, for example some strings to write stuff to a logfile, you have to create them on the C side and not in Eiffel!
- 2. Chains as well as various other structures are represented as arrays. If you want to know what an entry in the array symbolizes, search the class *ISE SCOOP MANAGER* for constants which end in *_index*, such as *request_chain_accountable_index* with value 5. These constants will tell you what a specific array element represents. In the example, the 6th entry (arrays are zero-based here) tells you whether the chain is accountable or not.
- 3. If you want to add or remove entries from these arrays, you can usually find a constant that holds the array size. Search the class *ISE SCOOP MANAGER* for constants which end in _*index_count*, such as *processor_meta_data_index_count*. If you change such a constant, all arrays that use it will have the correct number of entries.

- 4. The class ATOMIC MEMORY OPERATIONS provides some helper features for setting and changing variables atomically. If you need some examples how to use the functionality, have a look at *flag_chain_accountable* in *ISE SCOOP MANAGER* for setting values or look at *is_chain_accountable* in the same class for reading them.
- 5. There is a large C side to the Eiffel Framework. Many features use direct C code and are not written in Eiffel. There is a gap between C and Eiffel, which is bridged by callbacks. An example would be the feature *scoop_task_callback* in class *ISE SCOOP MANAGER*: The C side will call this feature whenever tasks are to be completed. From the Eiffel side these calls magically appear, and you cannot easily trace from where the callback came. So if you do not find any Eiffel code that invokes a certain routine, you have to search the C side for a point where a callback to Eiffel is made.
- 6. Nearly every tasks that the SCOOP runtime system has to perform, such as adding a call to a processor or creating a chain, will start with a callback from the C side to the feature *scoop_task_callback* in class *ISE SCOOP MANAGER*. This is a good entry point to see the workflow on the Eiffel side.

8.2.3 Accountability Mechanism without Safemode

There are three main places where parts of the exception mechanism are implemented, all of them inside the class *ISE SCOOP MANAGER*:

- 1. *scoop_application_loop*: This loop is executed by every processor. The processor finds its current chain, and then executes this loop to work on said chain. In the loop, the supplier gets the oldest call that was placed on it and tries to execute it. Additionally, this feature handles any communication with the client, should the need arise. Our changes focus on the *rescue* clause of this feature: Here, we implemented the behaviour that exceptions are only stored if the chain is accountable.
- 2. *signify_end_of_request_chain*: This feature is executed by the client to close a chain. In the implementation by EiffelSoftware this feature just sets the chain status to *closed*, and nothing more. We amended this feature to close the chain and set it unaccountable. The client also deletes any exceptions the supplier processors might have stored here.
- 3. *log_call_on_processor*: The client uses this feature to log a call on a supplier. The feature is quite complicated and does a lot more than the name suggests. This feature also handles the entire execution of callbacks on the client as well as when exactly the client checks if the supplier is failed! In our implementation asynchronous calls never yield exceptions for the client. Additionally, the client checks after a synchronous call whether the supplier is failed, and so it receives the exception directly.

8.2.4 Accountability Mechanism with Safemode

Everything covered in the section above also applies to the accountability mechanism with safemode. This section presents the changes made from the accountability mechanism without safemode, to give you a good overview on how you could change the safemode implementation.

At the end of the client feature body, there is a query to all suppliers. The client uses a direct callback from C into the *ISE SCOOP MANAGER*.

Here is how the callback was achieved on the C side:

- 1. We implemented a new SCOOP task for the end of a feature body: We defined the task *scoop_task_feature_body_end* both in *ISE SCOOP MAN-AGER* as well as in *eif_macros.h* (since the C side must also know what the task ID is). We created a new C macro called *EIF_FEATURE_BODY_END* in *eif_macros.h* to be used for the callback.
- 2. We modified the Eiffel classes *BYTE CODE* as well as *STD BYTE CODE* which are used to generate C code from Eiffel. If you do a search for *safemode* you will find all the modifications which we made. All these modifications make sure that the callback is injected just at the end of the client's feature body. Of course, that additional code is only injected if safemode is turned on.

And these are the changes we made to the *ISE SCOOP MANAGER* to handle the callback:

- 1. We updated *scoop_manager_task_callback* so that the new task ID is recognized. Whenever a processor receives the feature body end task ID, it executes a call to *feature_body_end*. This feature grabs the client's current chain, which contains all suppliers. It then sends a signal to every supplier to finish up and report and waits for an answer. Once an answer is received, the client checks for any exception from the suppliers. If an exception occurred, the exception is raised on the client.
- 2. We also had to make sure that no exceptions were lost before a callback to *feature_body_end* was triggered. So we additionally modified the features *signify_end_of_request_chain* and *scoop_application_loop*.
- 3. In *signify_end_of_request_chain* we added a conditional that if the safemode was enabled, no exceptions may be dropped.
- 4. In *scoop_application_loop*, there were two changes. First, we added a conditional in the rescue clause so exceptions are always stored if safemode is enabled. Secondly, we had to implement a mechanism that allows the client to wait until the supplier is finished. We added a conditional for synchronisation: If a supplier finishes all its work while safemode is enabled, then it will wait for a special signal from the client to report any exceptions.

8.2.5 Duel mechanism

We implemented new features that the developer can use in his code to set holder and challenger behaviour. These features involve callbacks, so we implemented the functionality to handle these callbacks in *ISE SCOOP MANAGER*. This class also contains our changes for using the behaviour set by the developer.

The following changes allow setting a processors behaviour:

- 1. In the class ANY we implemented set holder behaviour retain, set holder behaviour yield, set challenger behaviour wait turn, set challenger behaviour demand and set challenger behaviour insist, which directly use macros defined in eif_macros.h.
- 2. These macros automatically execute a callback with new SCOOP task IDs scoop_task_holder_behaviour or scoop_task_challenger_behaviour (defined in eif_macros.h) to the class ISE SCOOP MANAGER.

We then changed the implementation of ISE SCOOP MANAGER as follows:

- 1. We changed *scoop_manager_task_callback* so that the new task IDs are recognized. Depending whether holder or challenger behaviour is set, *set_holder_behaviour* or *set_challenger_behaviour* is executed.
- 2. The SCOOP processors did originally not have any flags for holder or challenger behaviour, so we had to create them. We added two entries to *processor_meta_data*. These two entries are used to store the desired behaviour of the processor. The two new entries are at index *processor_holder_behaviour_index* and *processor_challenger_behaviour_index*, respectively.
- 3. We implemented the logic of obtaining locks in *request_processor_resource*. The challenger uses the supplier's chain to find the current lock holder. The challenger then extracts its own challenger behaviour as well as the holders holder behaviour, and proceed accordingly.
- 4. There was a slight problem interrupting the holder: It is not possible to throw an exception to a processor, it is only possible to let the processor discover it. To implement this, we either require every processor constantly check for new exceptions (which is a big performance hit) or have the processor only check occasionally (meaning there is a race condition that two clients might access the same supplier). The solution was to add a new status for chains. They can now be in a state called *lock lost*. If the holder loses its lock, then the holder's chain receives the status *lock lost*.
- 5. To make sure that the holder actually sees the changed chain state, we changed the implementation of *scoop_application_loop*. The processor queries the chain state before applying any features: If the chain state is *lock lost*, the processor throws an exception to itself.

8.2.6 Integrating the Accountability Mechanism into EiffelStudio

In order for developers to be able to select which exception mechanism to use, we had to change the Eiffel project definition, the Eiffel parser and a few auxiliary files.

These changes were necessary to change the project definition:

- 1. CONF VALIDITY: Changes here allow us to parse project files which contain a flag for safemode or never forget.
- 2. *CONF TARGET*: By adding features here we can set if a project uses the safemode or never forget system.
- 3. CONF CONSTANTS: This file contains the name and the description for the safemode and never forget system.
- 4. CONF INTERFACE NAMES: This file contains the actual entries to the EiffelStudio interface.

And these changes were applied to the parser:

- 1. *TARGET PROPERTIES*: This file contains the flags for the safemode and never forget system on the Eiffel side.
- 2. SYSTEM I: This file contains new features to set if a project uses the safemode or never forget mechanism.
- 3. LACE I: This class is used to read out a project, then input any settings such as the safemode or never forget entries into the system using the features from SYSTEM I.

There were some changes to other files necessary:

- 1. *eif_project.h*: This file contains the flags for the safemode or never forget system on the C side.
- 2. *eif_project.c*: And this file contains the documentation for the flags, and makes them globally available.
- 3. AUXILIARY FILES: This file contains C calls to set the two flags defined in *eif_project.h.*
- 4. *ISE SCOOP MANAGER*: The processors query if the safemode or never forget system ar on here (at runtime), and cache the result to improve efficiency.

Related Work

Compton et al. [5] present a first implementation of a runtime system for SCOOP. The runtime system discussed in the paper includes a simple exception mechanism that treats any asynchronous exception as fatal. Thus the problem of transferring exceptions between processors is simply solved by halting the entire system.

Morandi et al. [1] first defined the accountability mechanism. Their specification is the basis for the implemented accountability mechanism. The paper also includes a discussion about different asynchronous exception mechanisms and can be used to get an overview of the subject.

Morandi et al. [2] defined the operational semantics of SCOOP in their paper. The paper does not discuss exception mechanisms, but instead gives a formal definition of how SCOOP should behave.

Conclusions and Future Work

10.1 Conclusions

Implementation: We successfully implemented the accountability mechanism both with and without safemode as specified in [1]. The implementation is integrated into EVE.

Evaluation: We evaluated all flavours of the accountability mechanism as well as the implementation done by EiffelSoftware.

Evaluation programs: We wrote several SCOOP programs to evaluate the different accountability mechanisms. These programs highlight different problems for different exception mechanisms.

Improvements: We improved the accountability mechanism by adding another mode to it, called never forget. The new mode allows developers to model some SCOOP programs that could not be modeled using the other modes of the accountability mechanism. Additionally, developers now always receive the original exception, which should help them debug their applications.

Duel mechanism: The duel mechanism requires a well-defined underlying exception mechanism. Since we implemented several exception mechanisms, we built upon them and added the duel mechanism on top. With this mechanism several processors can now duel for locks, which allows the premature transfer of locks from one processor to another. This simplifies the process for developers to write SCOOP programs where reaction time as well as performance is important.

10.2 Future Work

Our work on different exception mechanisms and the duel mechanism has uncovered some yet unanswered questions. This section will briefly discuss work that requires further investigation.

10.2.1 Asynchronous Callbacks

Callbacks happen whenever a processor A passes its own lock to another processor B, and then B places a callback on A. According to the formal model of SCOOP [2], there can be no *asynchronous* callback, it should instead always be *synchronous*. However, EiffelSoftware implemented this behaviour differently, allowing asynchronous callbacks. In the example above: A receives an asynchronous callback from B, goes into an organized panic but B never queries A. Instead, B finishes its job, and then returns all locks to A. The question is: What should A do now? It might not be possible for A to handle an exception which was caused by a callback from B, and B has finished its job and therefore cannot handle any exceptions.

We tried to solve this issue by having processors that receive callbacks behave just like ordinary suppliers. In other words, if they get an exception, they store it, and return it whenever the client tries to query them. Should the client return all locks to them, they forget the exception. But it is clear that our way of handling this problem is but one of many, and may not be optimal.

10.2.2 Can Processors Become Unfailed?

Another interesting question is whether a processor is unfailed. In the implementation by EiffelSoftware the answer is simply *no*. The accountability mechanism allows a processor to become unfailed in two ways: Either if the lock of that processor is released or if the processor manages to propagate the exception.

This topic asks some interesting questions: Can a failed processor receive work again? And if so, what conditions must be fulfilled? We believe that by using the powers of *Design by Contract* we can nicely answer these questions. If an exception occurs, the processor executes the current feature's rescue clause, restoring the object's invariant. In other words, that object can now receive further calls, even if an exception occurred. The client must still be informed of the exception, but as soon as that is done, new calls can be placed on the object.

10.2.3 How to Handle Holder and Challenger Behaviour for Duel Mechanism

Our approach gives every processor its own behaviour, just as described by B. Meyer in [3]. Since behaviour does usually not change often, we think this implementation is viable. While our approach works nicely and allowed us to demonstrate a proof-of-concept, developers might want more fine-grained control. For example, future implementations could make it possible that a developer can modify the holder behaviour for every lock a processor holds. A good middle way can be to allow the developer to set the desired behaviour on a per-feature basis.

10.2.4 Timeouts in Duel Mechanism

Some challengers might be able to wait only for a certain time, but then they either need the lock or an exception. This problem could be solved by timeouts, after which the challenger automatically tries to acquire the lock using the *insist* behaviour.

10.2.5 Priorities for Different SCOOP Processors

In some programs different processors have different priorities, just like threads have different priorities. Priorities for different processors would allow developers to easily design a system where locks are always released for high-priority challengers, but not for low- or medium-priority challengers. A good example would be an application that has a GUI and many different processors working on tasks. The processor responsible for keeping that GUI responsive should never have to wait for locks and thus should have a high priority.

Appendix A

References

[1] B. Morandi, S. Nanz, and B. Meyer. Who is Accountable for Asynchronous Exceptions? APSEC '12 Proceedings of the 2012 19th Asia-Pacific Software Engineering Conference, Volume 01, Pages 462-471

[2] B. Morandi, S. Nanz, and B. Meyer. A comprehensive operational semantics of the SCOOP programming model. Arxiv preprint arXiv:1101.1038, 2011.

[3] B. Meyer, Object-Oriented Software Construction, Second Edition, Prentice Hall, 1997.

[4] C. Cachin, R. Guerraoui, and L. Rodrigues, Introduction to Reliable and Secure Distributed Programming, 2nd ed. Springer, 2011.

[5] M. Compton and R. Walker, A Run-time System for SCOOP, Journal of Object Technology, Vol. 1, No. 3, 2002. Appendix B

Code Listings

```
Listing B.1: Eiffel: Worker aggregator class
```

```
1
   note
\mathbf{2}
      description: "This root class issues work to different
         workers, and then passes them to an aggregator for
         later querying."
3
4
   class WORKER_AGGREGATOR
5
\mathbf{6}
   create
7
     make
8
   feature
9
     make
10
        local
11
          i: INTEGER
12
          l_aggregator: separate AGGREGATOR
13
          l_worker: separate WORKER
14
        do
15
          create l_aggregator.make
16
          from
17
            i := 1
18
          until
19
            i > 5
20
          loop
21
            create l_worker.make
22
            start_work (l_worker)
23
            pass (l_aggregator, l_worker)
24
            i := i+1
25
          end
26
          collect(l_aggregator)
27
        end
28
      start_work (a_worker: separate WORKER)
29
        do
          a_worker.async_call_fail ---This places an
30
              asynchronous call on the worker, which will later
              fail.
31
        rescue
32
           --Since there is no further interaction with a_worker,
               this rescue clause is never used
33
        end
     pass (a_aggregator: separate AGGREGATOR; a_worker:
34
         separate WORKER)
35
        do
36
          a_aggregator.receive_worker (a_worker)
37
        end
38
      collect (a_aggregator: separate AGGREGATOR)
39
        do
40
          a_aggregator.collect --- An asynchronous call to the
              aggregator, leaving the application free to
              continue.
41
        end
42
   end
```

Listing B.2: *Eiffel*: Aggregator class

```
1
   note
\mathbf{2}
     description: "The aggregator class, which is used to
         aggregate the results of all workers."
3
4
   class AGGREGATOR
5
6
   create
7
     make
8
   feature
9
     make
10
       do
11
          create workers.make
12
        end
13
     receive_worker (a_worker: separate WORKER)
14
       do
15
          workers.put_front (a_worker)
16
        end
17
     collect
       --Collect results from all the workers.
18
       do
19
20
          from
21
           workers.start
22
          until
23
            workers.after
24
          loop
25
            receive_results (workers.item)
26
            workers.forth
27
          end
28
        end
     receive_results (a_worker: separate WORKER)
29
30
        local
31
         b: BOOLEAN
32
        do
33
          b := w.get_result ---This call will not yield the
              exception that the worker has encountered. Instead,
               the worker will now return whatever result is
              available, and the developer can't be sure the
              result is actually correct. The developer also has
             no way of finding out that the worker encountered
              an exception at all.
34
        end
35
   feature {NONE} ---Internal
36
     workers: LINKED_LIST[separate WORKER]
37
   end
```

Listing B.3: *Eiffel*: Querier class

```
1
   note
\mathbf{2}
      description: "The querier class, which places work for the
          dedicated file reader on the shared buffer, then
         queries the answer register."
3
4
   class QUERIER
5
\mathbf{6}
   feature
\overline{7}
     live
8
       do
9
          from
10
          until
            False
11
12
          loop
13
            if not waiting then
14
              queue_file (bounded_buffer)
15
            end
16
            get_results (answer_register)
17
          end
18
        rescue
19
          retry
20
        end
21
      queue_file (buffer: separate BOUNDED_BUFFER[INTEGER])
22
        local
23
          boo: BOOLEAN
24
        do
25
          buffer.put (number * 1000 + counter)
26
          boo := b.is_full
27
          --In case any exception mechanism other than the
              accountability mechanism with safemode is used, a
              synchronous call must be placed here to receive
              exceptions!
28
        end
29
      get_results (ar: separate ANSWER_REGISTER)
30
        local
          l_result_from_ar: STRING
31
32
        do
          create l_result_from_ar.make_from_separate (ar.
33
              get_results ((number * 1000 + counter).out))
34
          --- If the EiffelSoftware exception mechanism is used,
              the answer register must never propagate an
              exception, since this would break the system. So
              the EiffelSoftware exception mechanism forces the
              developer to add more code here as well as on the
              answer register, since the answer register has to
              transfer an error message (not an exception) to the
               querier.
35
          waiting := (l_result_from_ar.count = 0) ---If the
              answer is "", wait further
36
        rescue
37
          waiting := False
                               --The answer was an exception, no
              need to wait further
38
        end
   feature {NONE}
39
     number: INTEGER
40
41
      answer_register: separate ANSWER_REGISTER
42
      bounded_buffer: separate BOUNDED_BUFFER[INTEGER]
43
      waiting: BOOLEAN
44
   end
```

```
Listing B.4: Eiffel: Dedicated file reader class
```

```
1
   note
\mathbf{2}
      description: "The dedicated file reader executes requests
          from the queriers, and transmits answers as well as any
           exceptions to the answer register."
3
4
   class DEDICATED_FILE_READER
5
6
   feature
\overline{7}
     live
8
       do
9
          from
10
          until
            False
11
12
          100p
13
            get_work (bounded_buffer)
14
            read_file
15
          end
16
        rescue
17
          retry
18
        end
19
      get_work (buffer: separate BOUNDED_BUFFER[INTEGER])
20
        local
21
          i: INTEGER
22
        do
23
          i := buffer.consume
          if not is_queued (i.out) then
24
25
            workload.put_left (i.out)
26
          end
27
        end
     read_file
28
29
        local
30
          file_name, line: STRING
          input : PLAIN_TEXT_FILE
31
32
        do
33
          if workload.is_empty then
34
            --No work, exit
35
          else
36
            file_name := workload.first
37
            create input.make_open_read(file_name)
38
            input.read_line
39
            line := input.last_string
40
            put_answer (answer_register, line, file_name)
41
            workload.remove_first
42
          end
43
        rescue
          put_answer (answer_register, "ERROR", file_name)
44
              The queriers won't be able to tell the difference
              between a file that really contained "ERROR" and an
               actual error! This shows the problem of developers
               having to do workarounds.
45
          workload.remove_first
46
        end
47
   feature ---Helpers
      put_answer (ar: separate ANSWER_REGISTER; line, file_name:
48
          STRING)
49
        do
50
          ar.put_answer (line, file_name)
51
        end
52
   end
```

```
Listing B.5: Eiffel: Answer register class
```

```
1
  note
2
     description: "The answer register receives any answers and
          exceptions that the deicated file reader produces.
         Queriers will query the answer register for these
         answers / exceptions."
3
   class ANSWER_REGISTER
4
5
\mathbf{6}
   feature
7
     put_answer (line, file_name: separate STRING)
8
       local
9
          1, f: STRING
10
       do
11
          create l.make_from_separate (line)
12
          create f.make_from_separate (file_name)
          output.put (1, f)
13
14
       end
15
16
     get_results (file_name: separate STRING): STRING
17
       local
18
         s: STRING
19
         res: STRING
20
       do
21
          create s.make_from_separate (file_name)
22
          res := output.item (s)
23
          if res /= Void and then not res.is_equal ("ERROR")
             then
24
            Result := output.found_item ---Return output
25
          elseif res /= Void then
26
            my_exception.raise
                                     --Error encountered
27
            ---If the EiffelSoftware exception mechanism is used,
                the answer register may not throw exceptions to
               a querier, since that would mean the querier
               could never query the answer register afterwards.
                So developers could add a special answer for
                failure, but this would create a conflict if a
                file's contents were exactly the same as the
                special answer...
28
          else
29
            Result := ""
                                 ---Data not yet received
30
          end
31
        end
32
33
   feature {NONE}
34
   my_exception: DEVELOPER_EXCEPTION
35
     once
36
       create Result
37
     end
   output: HASH_TABLE[STRING, HASHABLE]
38
39
   end
```